

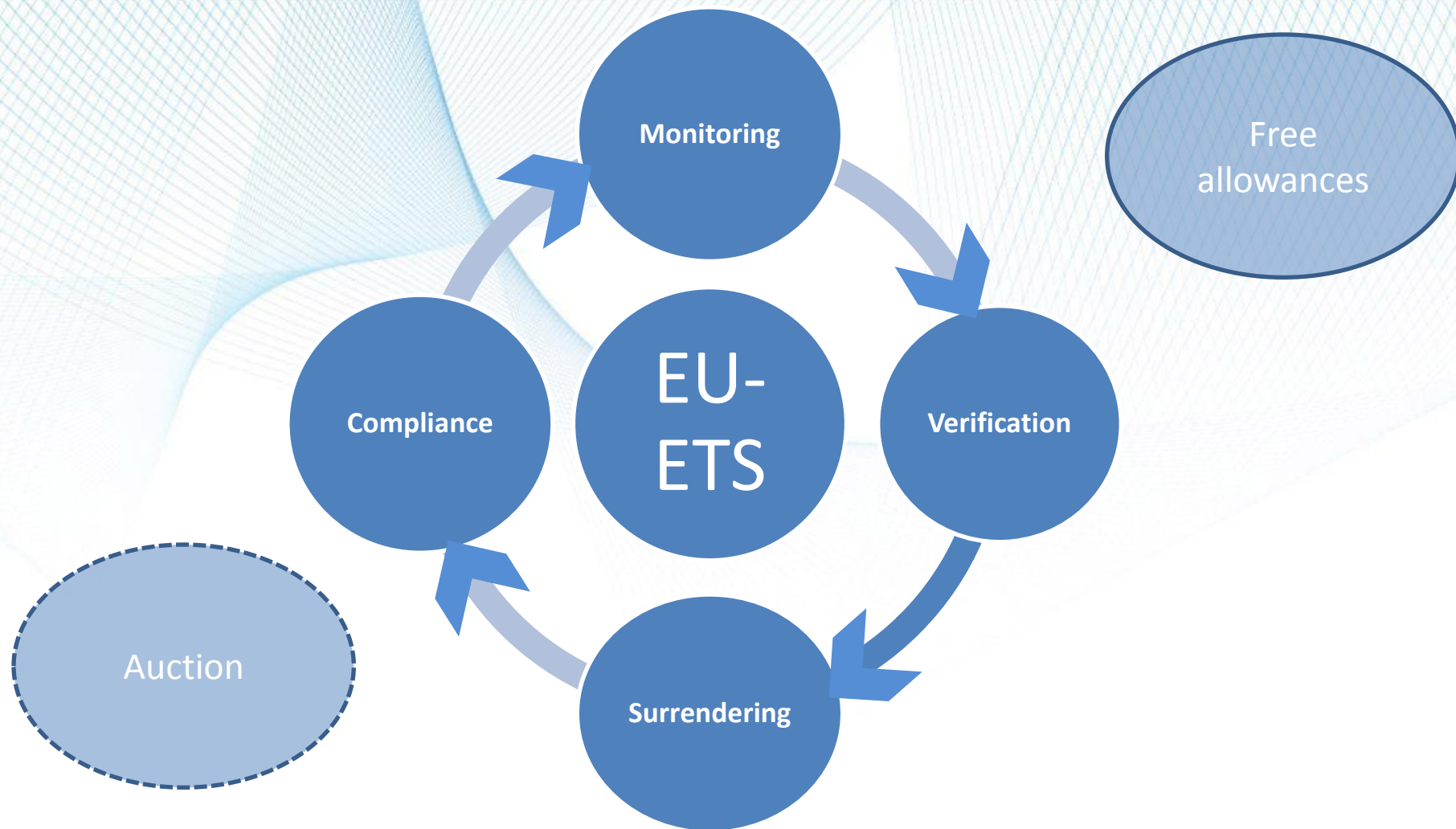
RPLE in the Union Registry Training session

European Union Emissions Trading Scheme
4th, 5th July 2012

Agenda

- Part I – RPLE in the Union Registry – Legal framework
- Part II - RPLE in the Union Registry – Main procedures
- Part III – RPLE in the Union Registry – EUCR software
 - Interface
 - Access
 - Account creation
 - Account and representatives management
 - Transfers
 - Compliance: surrendering
 - Support services and material
- Part IV – EU-ETS 2013-2020
- Q&A

EU-ETS – operation



Part I - RPLE in the Union Registry – Legal framework

Legal framework

EU-ETS – Legal framework

Directive 2003/87/EC of 13 October

It establishes a scheme for greenhouse gas emission allowance trading within the Community, in respect of the Kyoto Protocol's project mechanisms

Three amendments:

- *Directive 2004/101/EC of 27 October* (EU-ETS operators can use emission credits generated by project activities eligible under Articles 6 and 12 of the Kyoto Protocol).
- *Directive 2008/101/EC of 19 November* (inclusion of aviation activities in the EU-ETS)
- ***Directive 2009/29/EC of 23 April*** (improve and extend the greenhouse gas emission allowance trading scheme of the Community; new thresholds and inclusion of new activities and greenhouse gas for the 2013-2020 period). To be transposed into the Portuguese national law by the end of 2012.

Registry Regulation

Directive 2009/29/EC



Regulation (EU) n.º 920/2010 of 7 October, amended by Regulation (EU) n.º 1193/2011 of 18 November (Registry Regulation)

It establishes a standard and secure system of registries, pursuant to Directive 2003/87/EC and Decision 230/2004/EC and allowing precise and secure accounting of allowances and Kyoto units. It is being revised; new version expected by the end of the year.

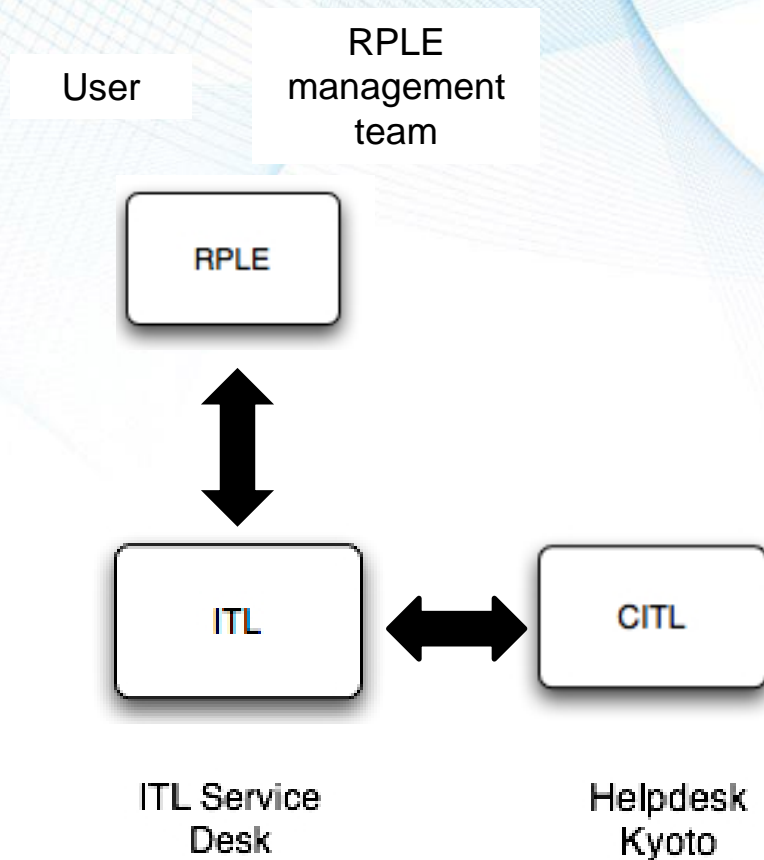
Transition from a system of registries based in the Member States
In PT → RPLE (Portuguese Registry of Allowances)



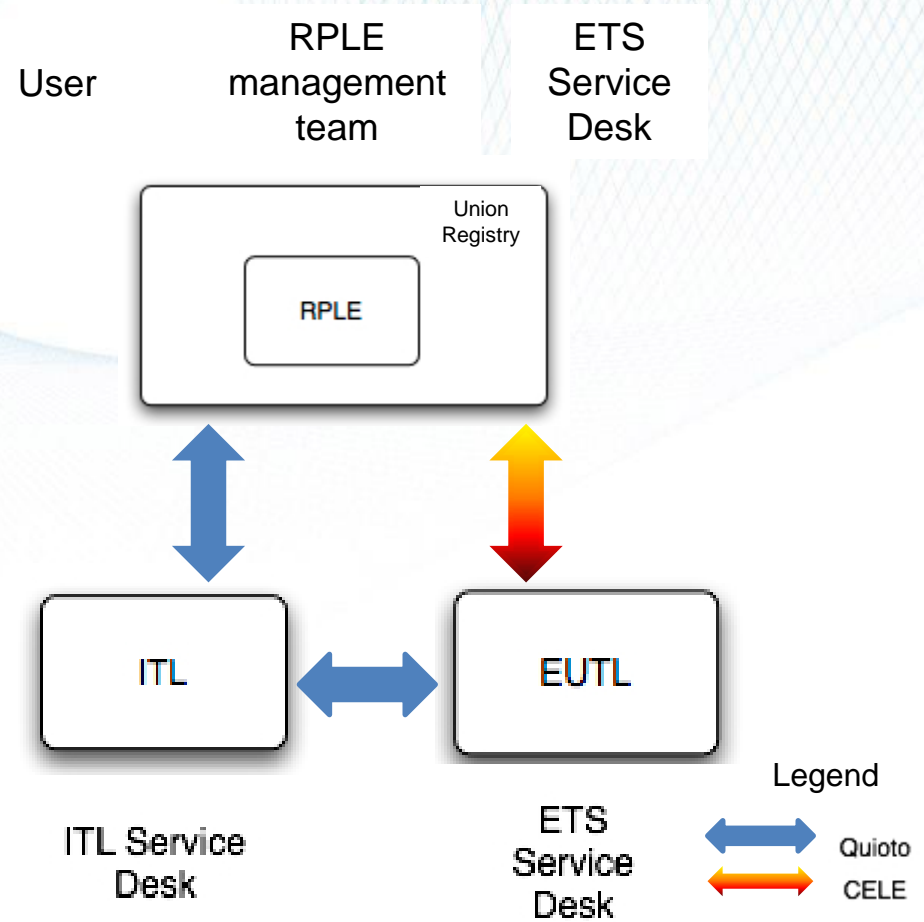
A system of registries based in the European Union; RPLE is integrated in the Union Registry (UR) → RPLE in the UR (since 20th of June)

Data flow in the system of registries

Before the 20th June



After the 20th June



EU-ETS – Important dates

- RPLE in the EU-ETS – November 2005;
 - RPLE in the international ETS – October 2008;
 - Inclusion of the aviation sector in RPLE in the Union Registry - January 2012;
 - Account migration from RPLE to the Union Registry;
 - Full activation of RPLE in the Union Registry – June 2012;
 - EU-ETS 2013-2020 – January 2012: CP1 extended to the true-up period until 2015
- 2005-2007 EU-ETS period
- 2008-2012 EU-ETS period
- 2013-2020 EU-ETS period

RPLE in the Union Registry

Account and Unit types

Account type in RPLE integrated in the Union Registry

Stationary installation operator holding account: one account per greenhouse gas emission Permit (TEGEE) (Art 15 of Commission Regulation (EU) n.º 920/2010, 7 October 2010)

• **Aircraft operator holding account:** one account per Monitoring Plan (MP) (Art. 16 of Commission Regulation (EU) n.º n.º 920/2010, 7 October 2010)

Arising from obligations under the EU-ETS; these accounts hold allowances allocated free of charge to operators.

Personal holding account – voluntary, for those who want to participate in the carbon market

By opening and maintaining an account a fee is due. This fee is set by Ordinance n.º 993/2010 de 29th September (Art.º 76 (2))

Units that can be surrendered

For compliance with the mandatory procedure of allowance surrendered until the 30th of April of year n+1, the operators can use

Allowances (Art. 46 of Commission Regulation (EU) n.º n.º 920/2010, 7 October 2010)

- **general allowances** (Chapter III of Directive 2003/87/EC): can be used for compliance by stationary installation operator and aircraft operators.
- **aviation allowances** (Chapter II of Directive 2003/87/EC): can only be used for compliance by aircraft operators (Art. 46, nr 2)

Kyoto units (Art. 48 of Commission Regulation (EU) n.º n.º 920/2010, 7 October 2010)

- carbon credits: CER and ERU – can be used by any operator for compliance, with restrictions legally defined.

Account types and unit types each account can hold

Account type name	General allowances	Aviation allowances	Kyoto allowances			
			AAU	CER	ERU	ICER, tCER RMU
Operator holding account	Yes	No	Yes	Yes	Yes	Yes
Aircraft operator holding account	Yes	Yes	Yes	Yes	Sim	Yes
Person holding account / trading account	Yes	Yes	Yes	Yes	Yes	Yes
Trading platform holding account	Yes	Yes	Yes	Yes	Yes	Yes

Account identification number

Accounts

- **ETS account** (account type EU-100-XXX-0-YY)
XXX – account identification number
YY – security code attributed by the system

Note: the account id of the new account in RPLE in the Union Registry is different from the account id of the old account in RPLE (migrated accounts)

Each operator and personal account holding will have another account to hold Kyoto units – **Kyoto account**

Account identification number

- **Kyoto account** (migrated account type PT-121/120-XXX-0-YY)

121 – personal holding account

120 – operator holding account

XXX – account identification number

YY- security code attributed by the system

Note: the Kyoto account ID is the same of the old account in RPLE

Part II - RPLE in the Union Registry - procedures

Procedures and processes main changes

Three new functionalities

- **Trading account**
- **Trusted account list**
- **Trading platform account**

Authorized representatives (Art 19)

Each account must have a minimum of:

- 2 authorized representatives (AR)** that initiate a transfer (maximum of 6 AR, all with the same level of intervention in transfers);
- 1 additional authorized representative (AAR)** that approves transactions (maximum 10 that approve transactions or have read-only access)

Note (Art 19, nr 6):

All representatives must be different people, with different cell phone numbers and different email addresses.

AR and AAR access levels (Art. 19)

The approval of the AAR is needed, in addition to the approval of the AR, to initiate a transfer except for:

- Transfers to an account in the trusted account list of the account holder;
- Transfers initiated by an exempt trading platform;
- Surrender of allowances, CER and ERU, as long as the account does not have an AAR (starting in 2013, allowance surrendering will need mandatory approval by an AAR).

Trusted account list (Art. 21 – A)

Operator, personal, trading platform **can only** transfer allowances and Kyoto units to an account in their **Trusted Account List (Art. 43 and 44)**.

To be implemented
in RPLE in the RU
by the end of 2012



Account holders: create the
Trusted account list (Art. 21 – A)

Account hold by the same account holder are automatically included in the
Trusted Account List

Changes to the List need an **Authorized Representative (AR or AAR)**
approval and are completed after 7 days

Transfers (Art. 32. - A)

The transfer is executed and considered completed in RPLE in the Union Registry after a period of 26 hours since its confirmation by the AAR.

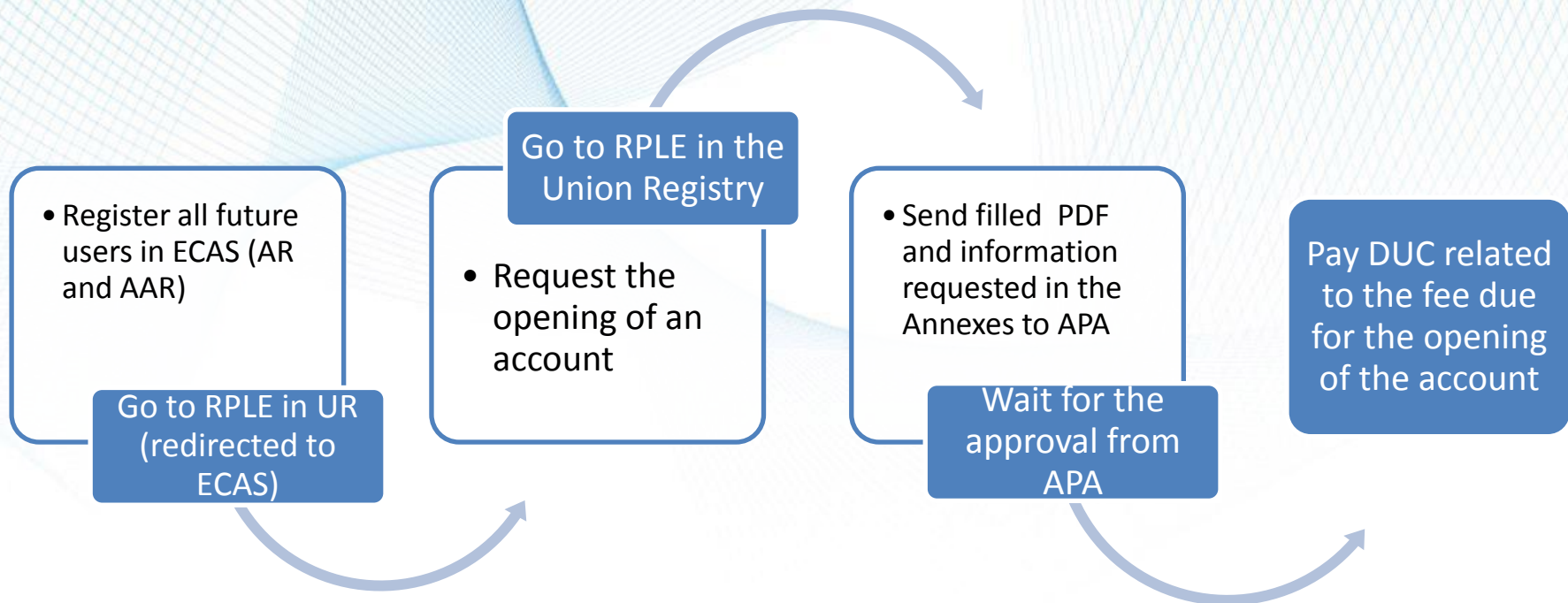
NOTE: This period does not apply to surrendering and transfers from a trading account to an account in the trusted account list.

This period is suspended between 00h and 24h (Central European Time; -1 h in PT) on Saturdays, Sundays and national holidays.

If there is a suspicion of fraud in the transfer, the account representatives shall inform the national administrator no later than two hours before the end of the period of 26 hours, so the national administrator can cancel the transfer.

Account opening: procedures

To open an Operator, Person or Trading account, you need to:



Operator account opening: documentation

List of the main documentation to send to APA for the account opening request (Art. 15 and Art. 16):

- PDF generated in RPLE in the UR, duly filled, signed on the first page and initialled in the remaining pages by the representatives of the social bodies of the company.

Documents **concerning the account holder** listed in the PDF to send to APA:

- List of the representatives of the social bodies with powers to compel the company and that sign the Agreement: names and positions;
- Copies of the documents proving the identity of the representatives of the social bodies with powers to compel the company and that sign the Agreement;
- Copy of the document certifying the registration of the legal entity: copy of the Certificate issued by the Commercial Registry of Registration and all registrations in force. Alternatively it may be presented the code of access to the permanent certificate (in either case the certificate must be within its expiry date).

Operator account opening: documentation

Documents **concerning the AR and AAR** listed in the PDF to send to APA:

- Nomination of AR and AAR by minutes of the meeting of the board or administration of the company, in which all authorized representatives must be different people, with different cell phone numbers and different emails;
- Copy of the identification document of the authorized representatives and of the additional authorized representative;
- Copy which proves the permanent residence address of the AR and AAR;
- Copy of the criminal records of the AR and AAR (document stating any criminal offenses for which the person identified was punished).

Person account opening: documentation

List of additional documentation to send to APA for the personal holding account (Art 13) or trading account (Art 13-A) opening request:

- Proof that the company that requests the account opening has a bank account in a Member State of the European Economic Area (EEA);
- Bank account details of the company;
- Copy of the documents establishing the legal entity;
- Copy of the criminal record of the holders of the corporate bodies with power to compel the company and that sign the agreement;
- Copy of the annual report or of the most recent audited financial statements;
- Information about the beneficial owner of the legal entity, as defined in Law nr 52/2003 of 22 August, amended by Law 25/2008 of 5 June.

IO and AO account opening request: deadlines

Deadline to request the account opening to the national administrator:

Within 20 working days from the date of entry into force of the GHG permit (Fixed installation operators - IO).

Within 20 working days from the date of the approval of the monitoring plan (Aircraft Operators - AO).

Deadline for the account opening:

Within 20 working days (IO) or 40 working days (AO) after receiving the documentation (PDF + additional information requested in the Annexes of the Agreement) and after the approval of the authorized representatives.

Access to the account : after payment of the fee.

Personal holding accounts: deadlines

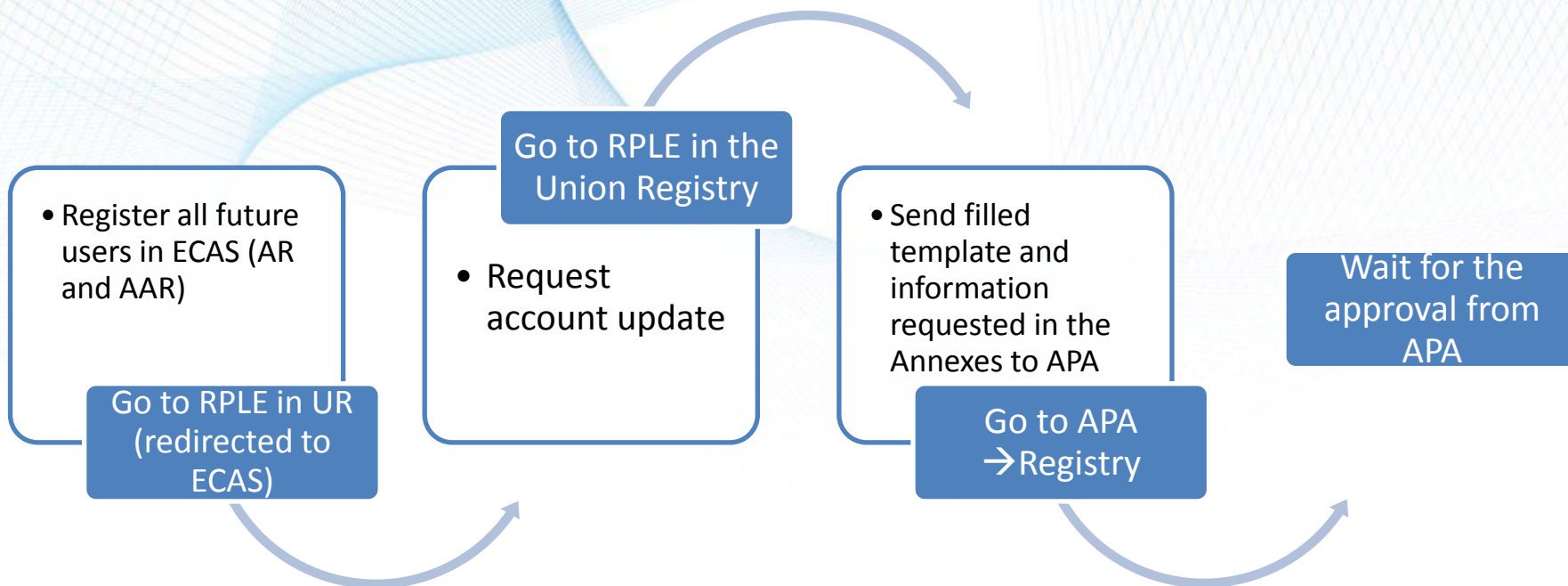
Deadline for the personal holding or trading account opening:

Within 20 working days (maximum 40 working days) after the reception of the documentation (PDF + additional documentation requested in the Annexes of the Agreement) and after the approval of the authorized representatives.

Access to the account : after payment of the fee.

Account details update

To update the details of any type of account, you need to:



Account update: documentation

List of documents to send to APA for the account update request:

When the update is due to the update of documents already sent to APA (new AR or AAR/ new minutes meeting, new proof of residence, change in the installation operator, etc.)

- Template concerning the update, depending on whether the change is of the account holder or of the authorized representatives, duly filled, signed in the first page and initialled in the remaining pages by the holders of the social bodies of the company with power to compel the company, accompanied by a letter from the company requesting the update;
- Certified copies of the identification documents of the holders of the social bodies with power to compel the company to verify the signatures;
- All documents requested in the template.

Account update: documentation

Updates that are not subject to the sending of documentation (executed only in the Union Registry):

- Cell phone number and phone number updates;
- Email updates.

Account update: deadlines

Deadline to notify the national administrator of the need to update the account (Art. 21):

Within 10 working days of any change of the details originally provided;

The AO shall notify within 10 working days of any merger with one or more AO;

Deadline for the account update (Art. 21):

The account is updated within 15 working days after the reception of the information (template + additional information requested in the Annex(es)).

Main changes in the System of Registries

- **New units**
 - EUAs were replaced by general allowances independent of AAUs
 - Aviation allowances
- **Distinct account type**
 - ETS account
 - Kyoto account
- **New security rules**
 - Role of representatives
 - 26 hours delay
 - Trusted account list
 - New account type - trading

Part III – RPLE in the Union Registry – EU CR software

RPLE – EU CR software

<https://ets-registry.webgate.ec.europa.eu/euregistry/PT/index.xhtml>

Authentication in RPLE in the Union Registry

- ECAS – European Commission Authentication Service
- Two level authentication – login credentials (username or email and password) and PIN that is sent to the cell phone number registered in ECAS
- ECAS signature when executing transfers

Contact | Search | Legal notice | Privacy Statement | English (en)

EUROPEAN COMMISSION AUTHENTICATION SERVICE (ECAS) External

EUROPA > Authentication Service > Login

Login New password Sign Up Help

ECAS
(authenticates your identity on European Commission websites)
CSEUR
requires you to authenticate

Login Not registered vet

Is the selected domain correct?
External [Change it](#)

Username or e-mail address *

Password *

Mobile phone *

International format including country code, e.g. for Belgium: +32 123 45 67 89

▶ More options...

[Login!](#) [Lost your password?](#)

* Required fields

Last update: 08/06/2012 (3.0.3-m) | 7 ms | [Top](#)

Login in RPLE in the Union Registry

ECAS (authenticates your identity on European Commission websites)

ECAS Signature

Welcome Joana SIMÕES to the ECAS Signature page. This page allows you to digitally sign a transaction using your ECAS password.

The CSEUR application is asking you to sign a transaction

The transaction has the following description: **Assinatura da operação**

[See the complete transaction](#) ▶

To sign the transaction, please enter your ECAS password

Reason **Assine a operação com a sua palavra-**

Password *

Mobile phone *

International format including country code, e.g. for Belgium: +32 123 45 67 89

[Sign](#)

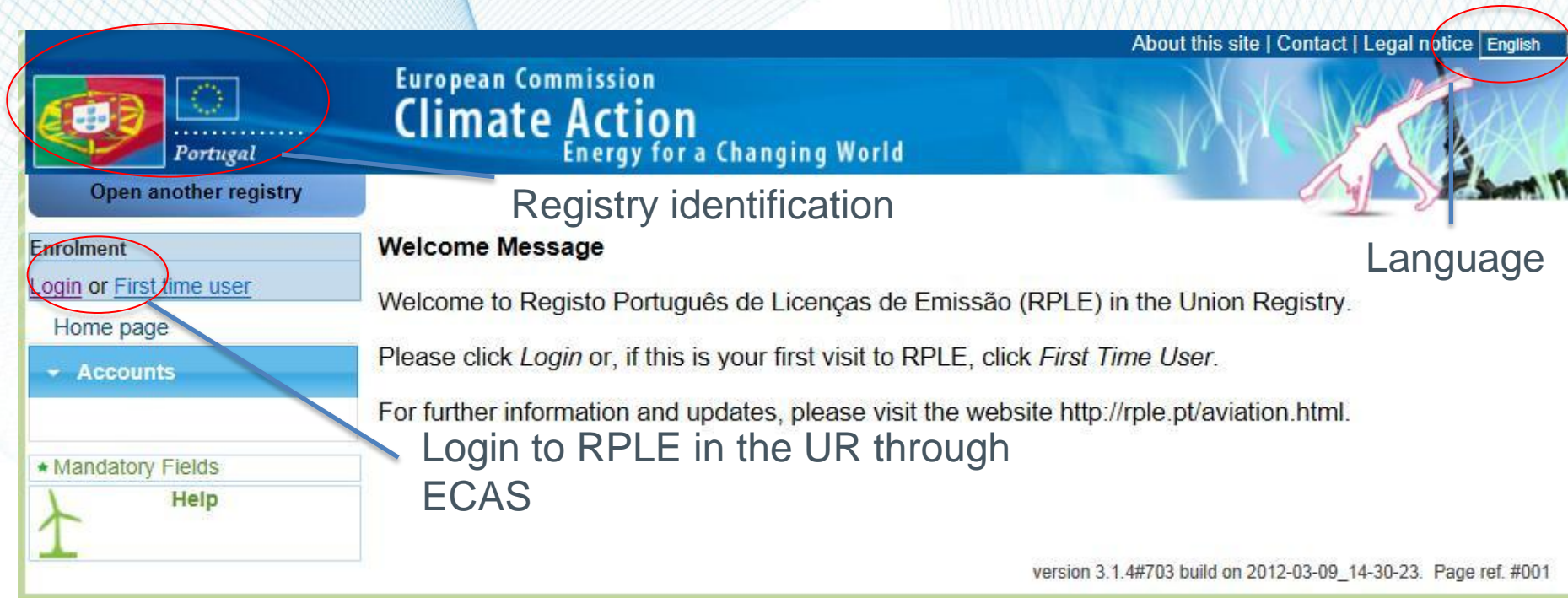
* Required fields

Last update: 30/03/2012 (2.4.1-b) | 18 ms | [Top](#)

Transfer ECAS signature

Interface

<https://ets-registry.webgate.ec.europa.eu/euregistry/PT/index.xhtml>



About this site | Contact | Legal notice | **English**

European Commission
Climate Action
Energy for a Changing World

Portugal

Open another registry

Registry identification

Welcome Message

Welcome to Registo Português de Licenças de Emissão (RPLE) in the Union Registry.

Please click *Login* or, if this is your first visit to RPLE, click *First Time User*.

For further information and updates, please visit the website <http://rple.pt/aviation.html>.

Login to RPLE in the UR through ECAS

Enrolment

Login or **First time user**

Home page

Accounts

Mandatory Fields

Help

Language

version 3.1.4#703 build on 2012-03-09_14-30-23. Page ref. #001

End of Session

Logged in as nilovife | [logout](#) | [About this site](#) | [Contact](#) | [Legal notice](#) | [English](#)

European Commission
Climate Action
Energy for a Changing World

Portugal

[Open another registry](#)

Enrolment You are here : PT

Home page

▼ **Accounts**

- Accounts
- Account Request
- Transactions

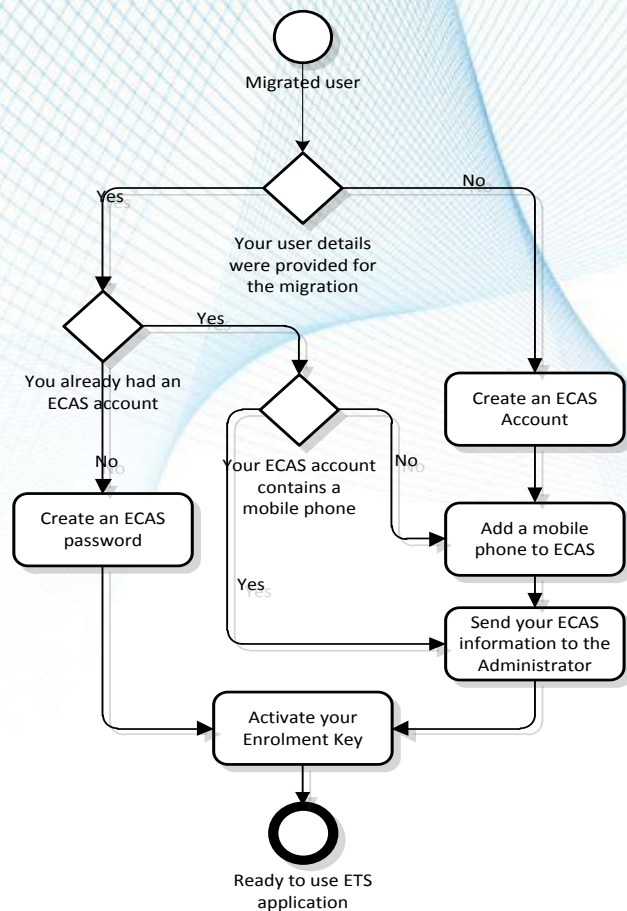
★ Mandatory Fields

Help

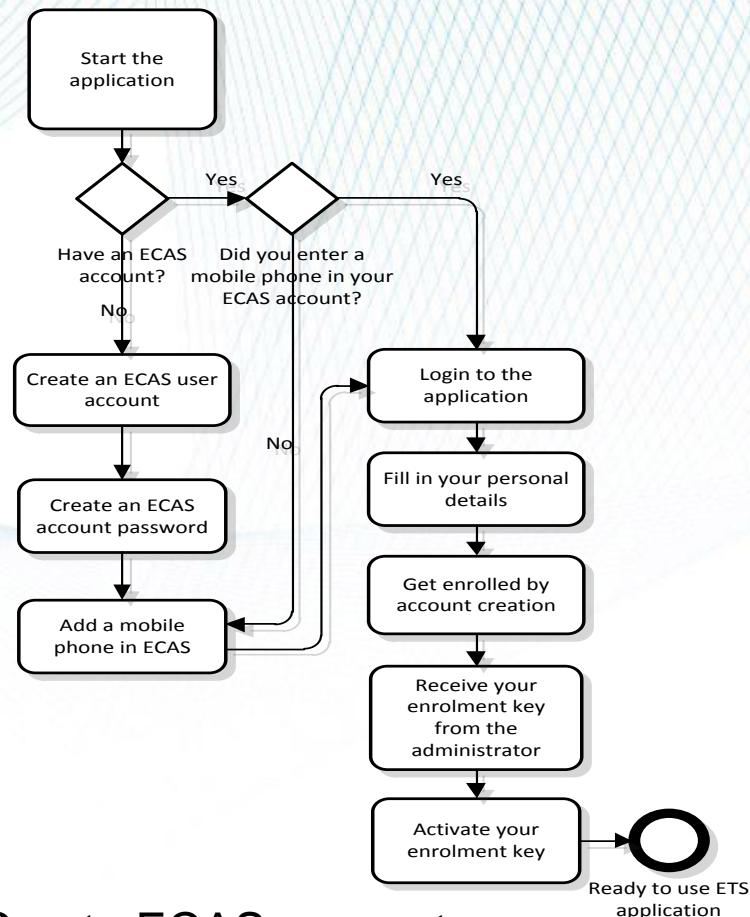
Logout

version 3.1.3#701 build on 2012-01-27_12-19-50. Page ref. #001

Users: migrated and new user



Create ECAS password
Insert enrolment key



Create ECAS account
Add cell phone number to ECAS account
Fill in personal details – User ID
Insert enrolment key

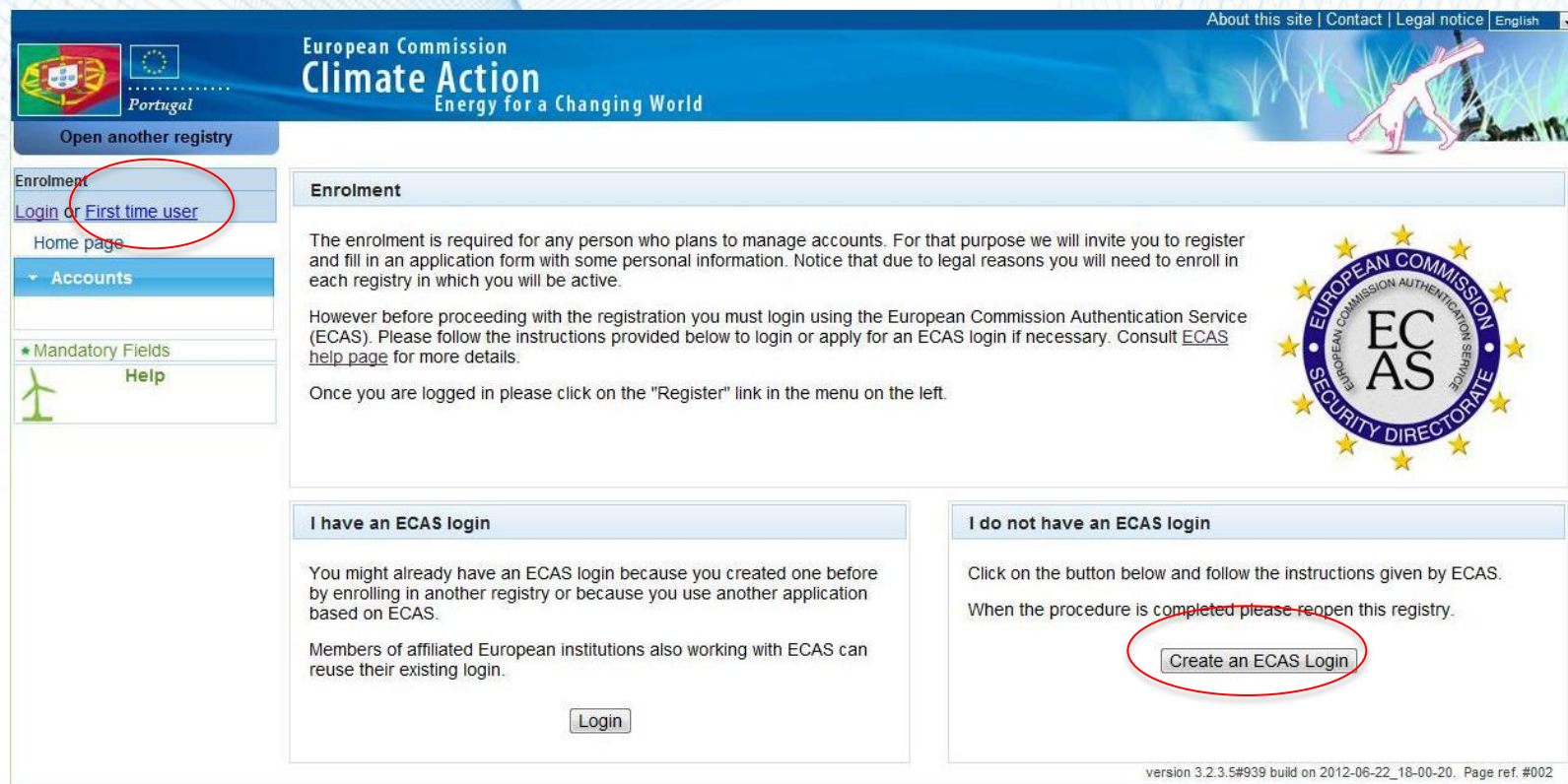
First login: migrated user

- Go to RPLE in the Union Registry
- Click Login
- Check domain: external (neither an institution nor a European body)
- New password
- Fill in the details

The screenshot displays the 'EUROPEAN COMMISSION AUTHENTICATION SERVICE (ECAS) External' page. The navigation menu includes 'Login', 'New password', 'Sign Up', and 'Help'. The 'New password' link is highlighted with a red box and a blue arrow pointing to a detailed view of the 'New password' form on the right. The main content area features the ECAS logo and the text '(authenticates your identity on European Commission websites) CSEUR requires you to authenticate'. A 'Login' button is present, with a red box around the text 'Not registered yet' and a message 'Is the selected domain correct? External Change it'. Below this are input fields for 'Username or e-mail address', 'Password', and 'Mobile phone'. A 'More options...' link and a 'Login!' button are also visible. The footer indicates 'Last update: 08/06/2012 (3.0.3-m) | 7 ms | Top'. On the left side of the image, there are additional navigation elements: 'Open another reg', 'Enrolment', 'Login or First time user' (circled in red), and 'Home page'.

New user

- Create ECAS account
- Add cell phone number to the ECAS account
- Fill in your personal data in RPLE in the Union Registry



The screenshot shows the 'Enrolment' page of the European Commission's Climate Action portal. The page header includes the European Commission logo, the text 'Climate Action Energy for a Changing World', and navigation links for 'About this site', 'Contact', 'Legal notice', and 'English'. A sidebar on the left contains links for 'Open another registry', 'Enrolment', 'Login or First time user' (circled in red), 'Home page', 'Accounts', 'Mandatory Fields', and 'Help'. The main content area is titled 'Enrolment' and contains the following text: 'The enrolment is required for any person who plans to manage accounts. For that purpose we will invite you to register and fill in an application form with some personal information. Notice that due to legal reasons you will need to enroll in each registry in which you will be active. However before proceeding with the registration you must login using the European Commission Authentication Service (ECAS). Please follow the instructions provided below to login or apply for an ECAS login if necessary. Consult [ECAS help page](#) for more details. Once you are logged in please click on the "Register" link in the menu on the left.' To the right of this text is the ECAS logo, which is a circular emblem with 'EUROPEAN COMMISSION AUTHENTICATION SERVICE' and 'SECURITY DIRECTORATE' around the perimeter, and 'ECAS' in the center. Below the main text are two sections: 'I have an ECAS login' and 'I do not have an ECAS login'. The 'I do not have an ECAS login' section contains the text: 'Click on the button below and follow the instructions given by ECAS. When the procedure is completed please ~~reopen~~ reopen this registry.' Below this text is a button labeled 'Create an ECAS Login' (circled in red). At the bottom of the page, there is a footer with the text: 'version 3.2.3.5#939 build on 2012-06-22_18-00-20. Page ref. #002'.

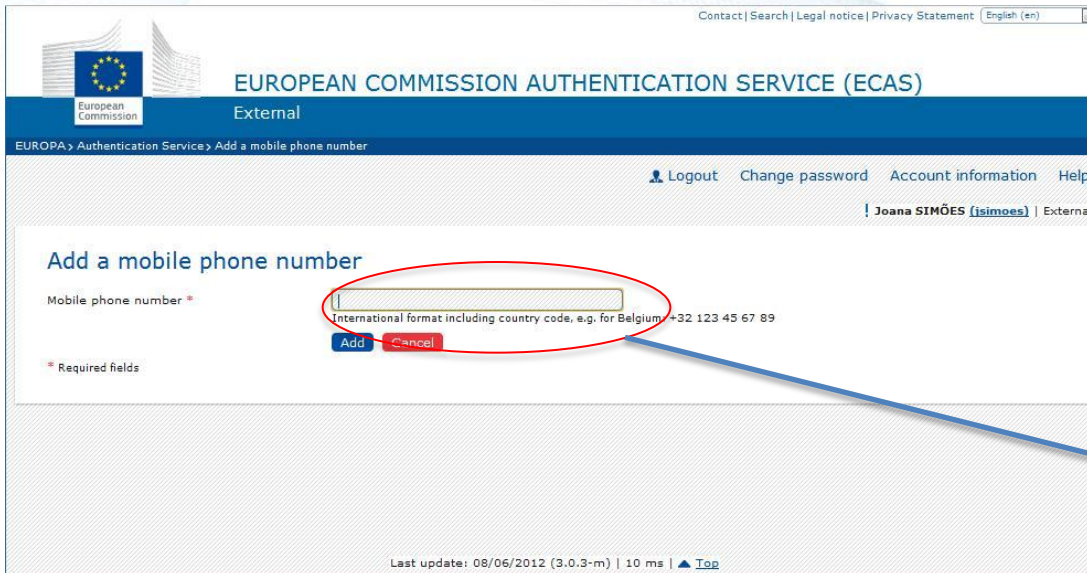
New user – Add cell phone number to ECAS account

- Go to ECAS (<https://webgate.ec.europa.eu/cas>)


Account information

Manage my mobile phone numbers >

Add a mobile phone number >



Contact | Search | Legal notice | Privacy Statement | English (en)

 EUROPEAN COMMISSION AUTHENTICATION SERVICE (ECAS)
External

EUROPA > Authentication Service > Add a mobile phone number

Logout Change password Account information Help

Joana SIMÕES (jsimoes) | External

Add a mobile phone number

Mobile phone number *

International format including country code, e.g. for Belgium +32 123 45 67 89

Add Cancel

* Required fields

Last update: 08/06/2012 (3.0.3-m) | 10 ms | Top

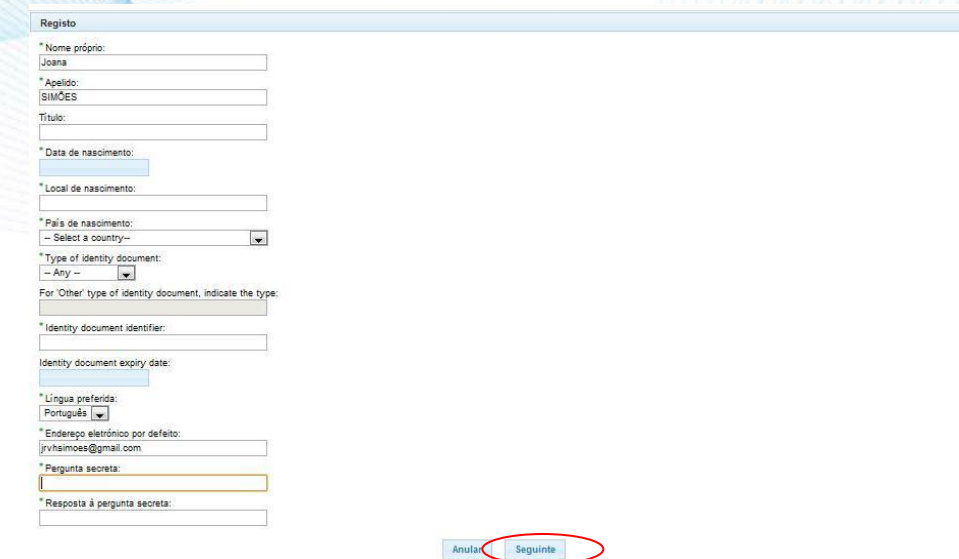
Country code (+351)

New user – Fill in personal details

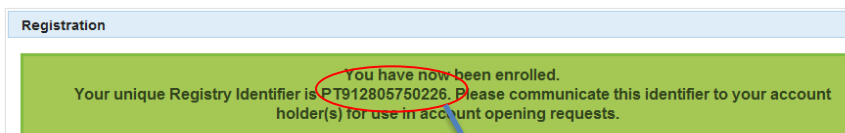
- Go to RPLE in the Union Registry
- Login
- Fill in your personal details



The screenshot shows the RPLE website navigation menu. At the top, there is a banner with the Portuguese flag and the text "Portugal". Below the banner is a button labeled "Open another registry". The main menu is divided into sections: "Enrolment" (with a sub-item "Fill in your personal details" highlighted by a red box), "Home page", "Accounts" (with a sub-item "Account Request"), "Mandatory Fields", and "Help" (with a wind turbine icon).



The screenshot shows the RPLE registration form. The form is titled "Registo" and contains several fields for personal details. The fields are: "Nome próprio:" (Joana), "Apelido:" (SIMÕES), "Título:", "Data de nascimento:", "Local de nascimento:", "País de nascimento:" (dropdown menu), "Type of identity document:" (dropdown menu), "Identity document identifier:", "Identity document expiry date:", "Língua preferida:" (dropdown menu), "Endereço eletrónico por defeito:" (jrvhaimoes@gmail.com), "Pergunta secreta:", and "Resposta à pergunta secreta:". At the bottom of the form, there are two buttons: "Anula" and "Seguinte", both of which are circled in red.

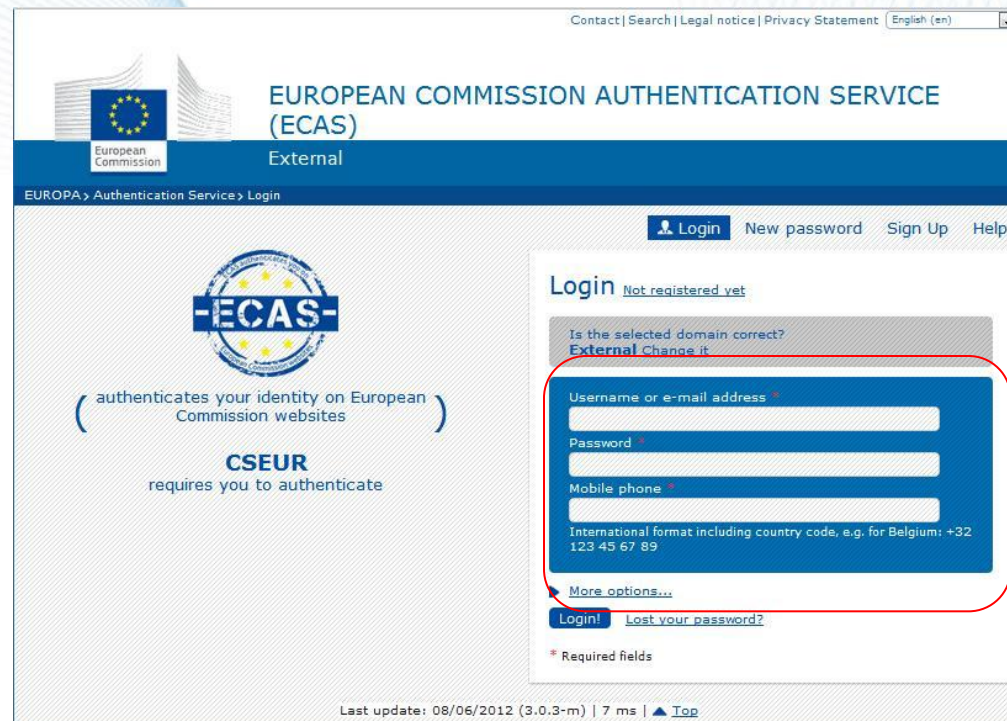


The screenshot shows the RPLE registration confirmation message. The message is displayed in a green box and reads: "You have now been enrolled. Your unique Registry Identifier is PT912805750226. Please communicate this identifier to your account holder(s) for use in account opening requests." The text "PT912805750226" is circled in red, and a blue arrow points from the text "User ID (UID)" at the bottom of the slide to this identifier.

User ID (UID)

Login to RPLE in the Union Registry

- Go to RPLE in the Union Registry
- Click Login
- Fill in the details (password set in previous steps)
- Insert PIN sent by SMS



First login: Enrolment

- The National Administrator sends the enrolment key by regular mail
- The user logs in to RPLE in the Union Registry and inserts the enrolment key (procedure to be performed only once)
- Access to account(s) from which the user is a representative



The screenshot shows the RPLE portal navigation menu. At the top, there is a banner with the Portuguese flag and the text "Portugal". Below the banner is a button labeled "Open another registry". The main menu includes "Enrolment" (with a sub-menu "Enter your enrolment key" highlighted by a red box), "Home page", "Accounts" (with a sub-menu "Account Request"), "Mandatory Fields", and "Help" (with a wind turbine icon).

Enrolment key entry

Enter the enrolment key that you have received from your registry administrator to fully activate your access to the registry.

Please note that you will receive this key only after the validation of your personal details when you become representant of an account for the first time.

- - - -

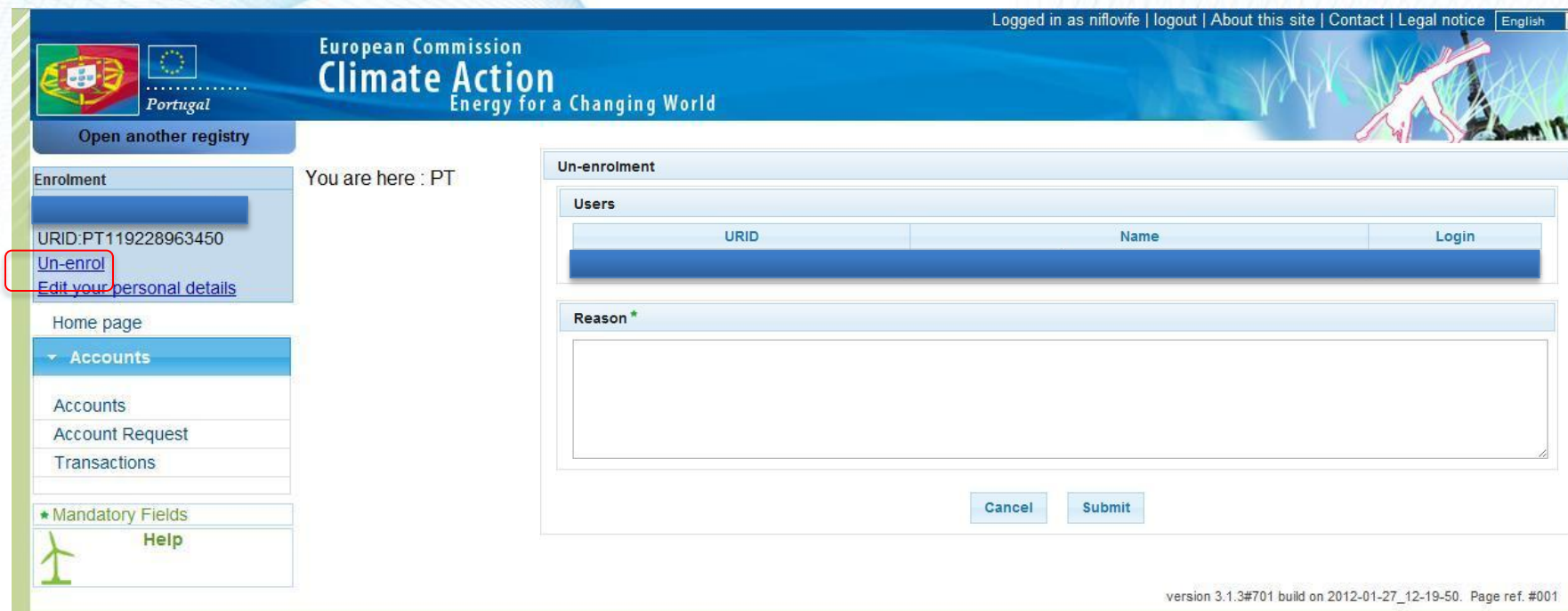


Introdução da chave de inscrição

Your access to the registry has been activated.

Suspend enrolment

- **Emergency** procedure only to be used when the user suspects someone other than himself tried to login to RPLE
- State reason for suspension of the enrolment
- The user **immediately** ceases to have access to his account(s)



The screenshot shows the RPLE website interface. At the top, it says "European Commission Climate Action Energy for a Changing World" and "Portugal". The user is logged in as "niflovife". The main content area is titled "Un-enrolment" and contains a table for "Users" with columns for "URID", "Name", and "Login". Below the table is a "Reason*" field. The "Un-enrol" link in the left sidebar is highlighted with a red box.

Logged in as niflovife | logout | About this site | Contact | Legal notice | English

European Commission
Climate Action
Energy for a Changing World

Portugal

Open another registry

Enrolment

URID:PT119228963450

Un-enrol

Edit your personal details

Home page

Accounts

Accounts

Account Request

Transactions

Mandatory Fields

Help

You are here : PT

Un-enrolment

URID	Name	Login

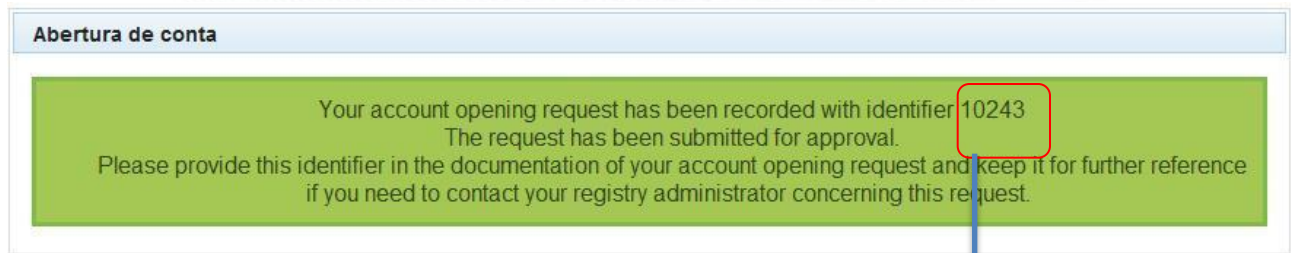
Reason*

Cancel Submit

version 3.1.3#701 build on 2012-01-27_12-19-50. Page ref. #001

Account creation

- Representatives must have an ECAS account and a user ID
- 2 authorized representatives
- 1 additional authorized representative



Account opening request ID

Account management

Open another registry

Enrolment

Joana Henriques SIMÕES
URID:PT119228963450

[Un-enrol](#)
[Edit your personal details](#)

Home page

Accounts

Accounts

Account Request

Transactions

Mandatory Fields

Help

Account Search Criteria

ID: Name: Status: -- Any -- Period: -- Select a period --

Type: -- Select a type -- Account Holder Name: Installation / Aircraft Operator Identifier: Group: -- Any --

Filter

Account Search Results

ETS account

Balance


Installation / Aircraft operator ID

Number	Name	Type	Period	Group	Balance	Status	Account Holder Name	Installation / Aircraft Operator Identifier	Actions
EU-100-5000880-0-97	Operator ETS	Operator holding account	0	PT	4500	Open	Operator ETS	200800	View Details Close
PT-121-5000881-0-90	Operator ETS (Conta Quioto)	Person Account in National Registry	0	PT	0	Open	Operator ETS		View Details Close

Page 1 of 1 (2 rows found)

Kyoto account

Account management – ETS account



[Open another registry](#)

Enrolment
 Joana Henriques SIMÕES

[Home page](#)

Accounts

- Accounts
- Account Request**
- Transactions

Mandatory Fields
[Help](#)

Account Search Criteria

ID: Name: Status: -- Any --
 Type: -- Select a type -- Account Holder Name: Installation / Aircraft Operator Identifier: Group: -- Any --
[Filter](#)

Account Search Results

Page 1 of 1 (2 rows found)

Number	Name	Type	Period	Group	Balance	Status	Account Holder Name	Installation / Aircraft Operator Identifier	Actions
EU-100-5000880-0-97	Operator ETS	Operator holding account	0	PT	4500	Open	Operator ETS	200800	View Details Close
PT-121-5000881-0-90	Operator ETS (Conta Quioto)	Person Account in National Registry	0	PT	0	Open	Operator ETS		View Details Close

Page 1 of 1 (2 rows found)

Surrendering and transfers

[Account Main](#)
[Holdings](#)
[Authorised Representatives](#)
[Additional Authorised Representatives](#)
[Installation](#)

[Contact Person Information](#)
[Compliance](#)
[Verifier](#)

Verified emissions and compliance
 Appoint verifier

Account management – Kyoto account

[Open another registry](#)

[Enrolment](#)

[Edit your personal details](#)

[Home page](#)

Accounts

- Accounts**
- Account Request
- Transactions

[Mandatory Fields](#)

Help

Account Search Criteria

ID: Name: Status: Period:

Type: Account Holder Name: Installation / Aircraft Operator Identifier: Group:

[Filter](#)

Account Search Results

Page 1 of 1 (2 rows found) 10

Number	Name	Type	Period	Group	Balance	Status	Account Holder Name	Installation / Aircraft Operator Identifier	Actions
EU-100-5000880-0-97	Operator ETS	Operator holding account	0	PT	4500	Open	Operator ETS	200800	View Details Close
PT-121-5000881-0-90	Operator ETS (Conta Quioto)	Person Account in National Registry	0	PT	0	Open	Operator ETS		View Details Close

Page 1 of 1 (2 rows found) 10

[Account Main](#)
[Holdings](#)
[Authorised Representatives](#)
[Additional Authorised Representatives](#)

Transfers

Demo

Representatives management - update

- Phone number and email – immediate approval
- Address update – approval after sending additional documentation to APA and verification by APA


<i>Account Main</i>	<i>Holdings</i>	<i>Authorised Representatives</i>	<i>Additional Authorised Representatives</i>
Authorised Representative 1			
URID <i>PT522672583613</i>			
Name <i>Representante AUTORIZADO</i>			
Company Name <i>Empresa XXX</i>			
Company Department <i>Qualidade e Ambiente</i>			
Job Title <i>Técnico Superior</i>			
Address <i>Rua XXX, 1000-000 Rua XXX Portugal</i>			
E-mail Address <i>formacao_repautorizado@yahoo.com</i>			
Telephone 1 <i>+351211234567</i>			
Telephone 2 <i>+351211234567</i>			

Replace

Update

Representatives management: add

- Prerequisite: having an ECAS account and a user ID
- Can add a representative already related to the account holder (has already a USER ID)



Account Main | **Holdings** | **Authorised Representatives** | **Additional Authorised Representatives**

Add AR

Authorised Representative 1

URID
PT522672583613

Name
Representante AUTORIZADO

Company Name
Empresa XXX

Company Department
Qualidade e Ambiente

JobTitle
Técnico Superior

Address
Rua XXX,
1000-000
Rua XXX

Authorised Representative Addition

Representative is already related to the Account Holder Representative is not yet related to the Account Holder

Next **Cancel**

Representatives management: remove

- The account must always have 2 authorized representatives
- Removing the additional representative can prevent transfers - replace

Account Main	Holdings	Authorised Representatives	Additional Authorised Representatives
------------------------------	--------------------------	--	---

Additional Authorised Representative 1

URID
PT806146547397

Name
Representante ADICIONAL

Company Name
Empresa XXX

Company Department
Financeiro

Job Title
Técnico

Address
Rua XXX,
1000-000
Lisboa
Portugal

E-mail Address
formacao_repadicional@yahoo.com

Telephone 1
+351211234567

Telephone 2
+351211234567

Authorised Representative Role.
ADDITIONAL_AUTHORISED_REPRESENTATIVE

[Remove](#) [Replace](#) [Update](#)

Representative management: replace

- New representative must have an ECAS account and a User ID
- Replacement can be by a representative associated with the account holder

Account Main	Holdings	Authorised Representatives	Additional Authorised Representatives
---------------------	-----------------	-----------------------------------	--

Additional Authorised Representative 1

URID
PT806146547397

Name
Representante ADICIONAL

Company Name
Empresa XXX

Company Department
Financeiro

Job Title
Técnico

Address
*Rua XXX,
1000-000
Lisboa
Portugal*

E-mail Address
formacao_repadicional@yahoo.com

Telephone 1
+351211234567

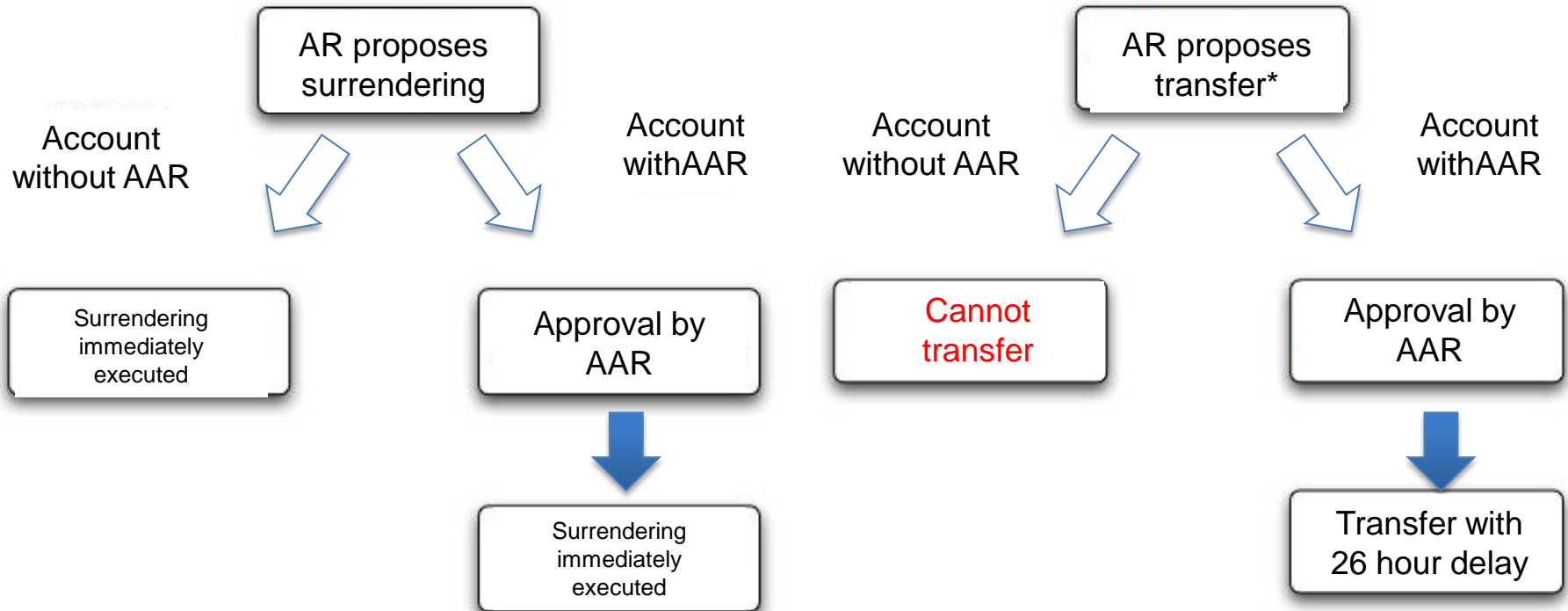
Telephone 2
+351211234567

Authorised Representative Role.
ADDITIONAL_AUTHORISED_REPRESENTATIVE

Demo

Transfers: scheme

- Authorized representative (AR) proposes transfer
- Additional authorized representative (AAR) approves transfer (**currently doesn't receive any notification of pending approval**)



*Includes allowance deletion and voluntary cancellation of Kyoto units

Transfers: propose a transfer

- One of the authorized representatives proposes the transfer

Account Main **Holdings** Authorised Representatives Additional Authorised Representatives Installation

Contact Person Information Compliance Verifier

Total: 4500

Unit Type	Commitment Period	Project Number	Balance	Reserved for transaction
General allowance	1		4500	4

Propose a transaction

Transaction selection

Choose the type of transaction:

Regular Transfers:
[Transfer of allowances](#)

Compliance:
[Surrender of allowances](#)

Destruction of Units:
[Deletion of allowances](#)

Cancel

Transfers: transfer details

Transaction selection

Choose the type of transaction:

Regular Transfers:

[Transfer of allowances](#)

Compliance:

[Surrender of allowances](#)

Destruction of Units:

[Deletion of allowances](#)

Transfer of allowances

* Acquiring account identification code:

EU - 100 - - 0 -

Due date:

Comment:

Units

Unit Type	Commitment Period	Available quantity	Quantity to transfer
General allowance	1	4496	<input type="text"/>

[Next](#)

[Cancel](#)

Transfers: ECAS signature

ECAS (authenticates your identity on European Commission websites)

ECAS Signature

Welcome Joana SIMÕES to the ECAS Signature page. This page allows you to digitally sign a transaction using your ECAS password.

The CSEUR application is asking you to sign a transaction

The transaction has the following description: **Assinatura da operação**

[See the complete transaction](#) ▶



To sign the transaction, please enter your ECAS password

Reason **Assine a operação com a sua palavra-**

Password *

Mobile phone *

International format including country code, e.g. for Belgium: +32 123 45 67 8

Sign

* Required fields

Please enter the challenge sent to your mobile phone by SMS. It might take up to null minutes for the message to reach your mobile phone.

Reason **Assine a operação com a sua palavra-**

Comment

Username or e-mail address

Mobile phone

SMS challenge * - - -

Sign

* Required fields

Transfers: approval (Task)

- The **additional** authorized representative approves the transfer – **Task List**
- The AAR chooses the task and claims it



Portugal

Open another registry

Enrolment

Representante ADICIONAL
URID: PT806146547397

[Un-enrol](#)
[Edit your personal details](#)

[Home page](#)

Task list

Accounts

Accounts
Account Request
Claim account
Transactions

★ Mandatory Fields

 [Help](#)

Task List | History

Task name

Request id

Task status

Task priority

Start date From To

Due date From To

[Filter](#)

Filter results

Page 1 of 1 (2 rows found) 10

<input type="checkbox"/>	Name	Claimant	Description	From	Request ID	Start date	Due date	Status	Priority
<div style="border: 2px solid red; padding: 2px;">V</div> <input type="checkbox"/>	Aprovar pedido de operação	Representante ADICIONAL	The following Transaction needs approval prior to launch the Transaction workflow.	PT522672583613	12270	23/04/2012 16:58:01		Reclamada	Média
<input type="checkbox"/>	Aprovar pedido de operação		The following Transaction needs approval prior to launch the Transaction workflow.	PT767162696205	12193	19/04/2012 12:24:37		Não reclamada	Média

Claim task

[Unclaim task](#)
[Assign](#)

Transfers: approval (Task)

- Select the task clicking its name
- Click the request ID to view the transaction details and approve ou reject it

Filter results									
Page 1 of 1 (2 rows found)									
10									
<input type="checkbox"/>	Name	Claimant	Description	From	Request ID	Start date	Due date	Status	Priority
<input type="checkbox"/>	Aprovar pedido de operação	Representante ADICIONAL	The following Transaction needs approval prior to launch the Transaction workflow.	PT522672583613	12270	23/04/2012 16:58:01		Reclamada	Média
<input type="checkbox"/>	Aprovar pedido de operação		The following Transaction needs approval prior to launch the Transaction workflow.	PT767162696205	12193	19/04/2012 12:24:37		Não reclamada	Média

Detail: Approve Transaction Request | **Comments**

From: PT522672583613
 Request ID: 12270
 Start date: 23/04/2012 16:58:01
 Due date:
 Status: claimed
 Priority: medium
 Assignee: Additional Authorised Representative

Description:
The following Transaction needs

Request: 12270

Approve Transaction Request

Transaction Request ID: 12270
 Transaction type: 10-00 Internal Transfer

Transaction content	
From	To
Transferring Registry: EU Transferring Account Type: 100 Transferring Account ID: 5000880 Transferring Account Name: Operator ETS	Acquiring Registry: EU Acquiring Account Type: 100 Acquiring Account ID: 10068 Acquiring Account Name: Operator aeronave 1

Quantities:

Unit type	Amount
General Allowance	2

Transfers: approval (Task) and ECAS signature

Approve Transaction Request

Transaction Request ID:
12270
Transaction type:
10-00 Internal Transfer

Transaction content

From

Transferring Registry: EU
Transferring Account Type: 100
Transferring Account ID: 5000880
Transferring Account Name: Operador ETS

To

Acquiring Registry: EU
Acquiring Account Type: 100
Acquiring Account ID: 10068
Acquiring Account Name: Operador aeronave 1

Quantities:

Unit type	Amount
General Allowance	2

Approve

Reject

ECAS (authenticates your identity on European Commission websites)

ECAS Signature

Welcome Joana SIMÕES to the ECAS Signature page. This page allows you to digitally sign a transaction using your ECAS password.

The CSEUR application is asking you to sign a transaction

The transaction has the following description: **Assinatura da operação**

[See the complete transaction](#)

Printer-friendly Version

To sign the transaction, please enter your ECAS password

Reason **Assine a operação com a sua palavra-**

Password *

Mobile phone *

International format including country code, e.g. for Belgium: +32 123 45 67 89

* Required fields

Please enter the challenge sent to your mobile phone by SMS. It might take up to null minutes for the message to reach your mobile phone.

Reason **Assine a operação com a sua palavra-**

Comment

Username or e-mail address

Mobile phone

SMS challenge * - - -

* Required fields

Transfers: transfer status

Status	Description
Proposed	Transaction initialized
Accepted	The acquiring account accepted the proposal
Rejected	The acquiring account rejected the proposal
Terminated	ITL, EUTL or the acquiring account have found discrepancies or rejected the transaction
Completed	The transaction has been accepted by all parties and executed
Cancelled	The transaction has been cancelled because it failed to be processed
Reversed	The transaction has been reversed (it was erroneously initiated)
Delayed	For security reasons, all transactions, except allowance surrender, are only processed during working hours, that is, from 10 am to 16 pm CET (Central European Time). This means that when a transaction is created at 9 am on 21/09, it is executed at 11 am on 23/09
Cancelled / Delayed	The transaction has been cancelled due to a fraud suspicion

Transfers: restrictions

Transferring account	Destination account	Operation initiated by	Mandatory validation by AAR	Out of the band confirmation	Time window applicable	26 hour delay
Aircraft operator Person Operator Auction delivery	Trusted account list	Holder	No	Yes	Yes	Yes
		Trading platform	No	No	Yes	Yes
	Outside trusted account list	Holder	Forbidden			
		Trading platform				
Trading	Trusted account list	Holder	No	Yes	Yes	No
		Trading platform	No (exempt) Yes (n exempt)	No	Yes	No
	Outside trusted account list	Holder	Yes	Yes	Yes	Yes
		Trading platform	Yes	No	Yes	Yes

Demo

Compliance – Verified Emissions

Account Main
Holdings
Authorised Representatives
Additional Authorised Representatives

Installation
Contact Person Information
Administration
Compliance
Verifier

Select period: First Commitment Period ▼ Refresh

Verified Emissions

Year	Emissions (*)	Verified
2008 propose	-	N
2009 propose	-	N
2010 propose	-	N
2011 ✎	24	Y
2012	-	N

(*): Emissions entered or corrected by Competent Authority

Compliance

Cumulative Verified Emissions : 24 Dynamic Compliance Status : C [?](#) [✎](#)

Cumulative Surrendered Units : 0 Compliance Status published at previous 15 May :

Carry over from previous period : 0

Compliance Figure : -24

Compliance: allowance surrender

- Account with AAR: his approval is needed; transfer immediately executed
- Account without AAR: transfer executed immediately

Account Main **Holdings** Authorised Representatives Additional Authorised Representatives Installation
Contact Person Information Compliance Verifier

Total: 4500

Page 1 of 1 (1 rows found)				
Unit Type	Commitment Period	Project Number	Balance	Reserved for transaction
General allowance	1		4500	4
Page 1 of 1 (1 rows found)				

Propose a transaction

Transaction selection

Choose the type of transaction:

Regular Transfers:

Transfer of allowances

Compliance:

Surrender of allowances

Destruction of Units:

Deletion of allowances

Cancel

Compliance: allowance surrender

Surrender of allowances

Compliance

The table below is a summary of the compliance information for the current commitment period. You should surrender a quantity equivalent to that indicated by *Compliance. Surrendered Pending* is the quantity being surrendered for which the transactions are not completed yet.

Cumulative Verified Emissions : 24 Dynamic Compliance Status : C

Cumulative Surrendered Units : 0 Compliance Status published at previous 15 May :

Carry over from previous period : 0

Compliance Figure : -24

Pending Surrendered: 0

Units

Units	Available quantity	Quantity to surrender
General Allowance	4496	<input style="width: 100%; height: 20px; border: 1px solid red;" type="text"/>

Submit
Cancel

Compliance: CER and ERU surrender's

- Surrender from ETS account
- If CER/ERU are in the Kyoto account, transfer them to the ETS account
- Pay attention to the limit

Transaction selection

Choose the type of transaction:

Regular Transfers:

Transfer of allowances

Transfer of AAU, RMU, ERU, CER, ICER and tCER

Compliance:

Surrender of allowances

Surrender of ERU and CER

Destruction of Units:

Deletion of allowances

Cancel

Compliance: surrender of CER e ERU

Surrender of CERs and ERUs

Compliance

The table below is a summary of the compliance information for the current commitment period. You should surrender a quantity equivalent to that indicated by *Compliance. Surrendered Pending* is the quantity being surrendered for which the transactions are not completed yet.

Cumulative Verified Emissions : 24 Dynamic Compliance Status : C ?
 Cumulative Surrendered Units : 8 Compliance Status published at previous 15 May :
 Carry over from previous period : 0
 Compliance Figure : -16
 Pending Surrendered: 0

Units

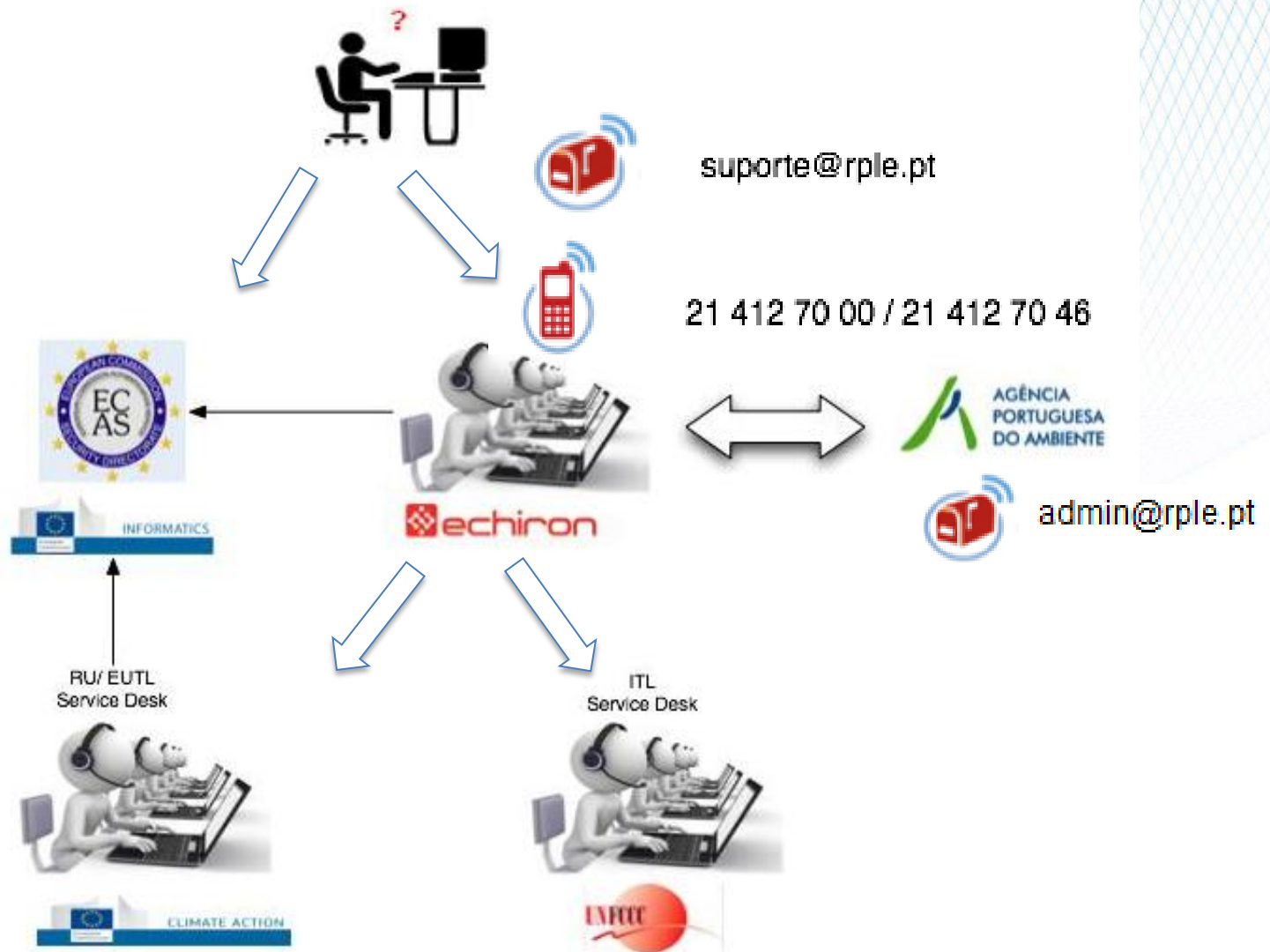
Units	Limit / Remaining	Available quantity	Quantity to surrender	Project
ERU from AAU	534 / 534	1	<input type="text"/>	<input type="text"/>

Submit

Cancel

Demo

Support Services



Support documentation

- Manuals and videos from the European Commission

Send an email to suporte@rple.pt asking for the url (the Commission wants to keep these documents in private)

- Terms of use, manual and other relevant documentation (soon)

<http://www.apambiente.pt> → Instrumentos → Comércio Europeu de Licenças de Emissão → Registo Português de Licenças de Emissão (RPLE)

Part IV – EU-ETS 2013-2020

Main changes

Directive 2009/29/EC amends Directive 2003/87/EC

Directive 2009/29/EC (2013-2020)

New gases
(N₂O, PFC) and
new sectors

New rules for
allocation and
issuances of
allowances for
free

Auction (all
allowances that
are not allocated
for free)

Carry over of
allowances
(allowances will
be replaced by
allowances valid
in 2013-2020)

Levels of use of
CER and ERU
with restrictions
CER and ERU can
be exchanged by
allowances just
for operators

International agreement for 2013-2020 being negotiated by UNFCCC

Use of credits in the 2013-2020 period

Levels of use of credits that can be used in 2013-2020 will be set in a Regulation to be published latter this year

For Installations Operators (IO) covered in 2008-2012

- *The amount corresponds to 11% of the amount attributed by PNALE II + a value to be published in the Regulation*

For IO covered only in 2013-2020 period

- *The amount corresponds to 4,5% of the verified emissions (VE) or another higher value to be published in the Regulation*

For Aircraft Operators

- *The amount corresponds to 15% of VE in 2012 + 1,5% of VE from 2013-2020 or another higher value to be published in the Regulation*

Use of credits in the 2013-2020 period

- **Art. 11 – A (2) of the Directive 2009/29/EC:** To the extent that the **levels of CER and ERU use**, allowed to operators or aircraft operators by Member States for the period from 2008 to 2012, **have not been used up or an entitlement to use credits is granted**, operators may request the **competent authority to issue allowances to them valid from 2013 onwards in exchange for CERs and ERUs** issued in respect of emission reductions up until 2012 from project types which were eligible for use in the Community scheme during the period from 2008 to 2012. Until 31st March 2015, APA shall make such an exchange on request.
- Units non eligible in EU-ETS in 2013-2020: from nuclear projects, LULUCF (land use and forests), HFC23, N₂O from adipic acid production.

Use of credits in the 2013-2020 period

- To the extent that the **levels of CER and ERU use**, allowed to operators or aircraft operators by Member States for the period from 2008 to 2012, **have not been used up or an entitlement to use credits is granted**, competent authorities shall allow operators to. Applicable to CER and ERU from all eligible projects for use in EU-ETS 2008-2012. **Art. 11 - A, n.º 3 of the Directive 2009/29/EC.**
- To the extent that the levels of CER and ERU use, allowed to operators or aircraft operators by Member States for the period from 2008 to 2012, have not been used up or an entitlement to use credits is granted under paragraph 8, competent authorities shall allow operators to exchange CERs issued in respect of emission reductions from 2013 onwards for allowances from new projects started from 2013 onwards in LDCs. Applicable to CER from all eligible projects for use in EU-ETS 2008-2012 until those countries have ratified a relevant agreement with the Community or until 2020, whichever occurs first. **Art. 11 – A (4) of the Directive 2009/29/EC.**

Thank you for your attention

RPLE Management Team: suporte@rple.pt

National Administrator: admin@rple.pt