

Transboundary Movements of Wastes “Amber List”

Dematerialization of the movement reporting within the “prior written notification and consent” to the Portuguese Environment Agency (APA)



Version 1.3 – June, 2017

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Transboundary Movements of Wastes – “Amber List”

SILiAmb – MTR-LL Module – What it is and what it’s for

The procedures for notification of transboundary movements of waste (MTR), commonly designated by “Amber List”, consists in shipments of waste subject to the procedure “prior written notification and consent”, in accordance with article 3 of Regulation (EC) n.º 1013/2006 of 14 June. These transboundary movements of waste for recovery or disposal operations, and may consist of an:

- “Exit”, where Portugal is the country of dispatch;
- an “Entry”, where Portugal is the country of destination; or
- “Transit”, with dispatch and destination in a third country, in which a shipment of waste passes by Portugal.

In this context the MTR-LL Module of SILiAmb platform, allows the fulfillment of reporting obligations to this competent authority (APA) in relation to: prior information regarding the actual start of waste -movement and the written confirmation of its reception, interim and/or final disposal or recovery operation, in compliance with the provisions of articles 15 and 16 of Regulation (EC) No 1013/2006.

In short, the MTR-LL aims the partial dematerialization of notification procedures through the dematerialization of movement report to Portuguese Environment Agency.

Transboundary Movements of Wastes – “Amber List”



SILiAmb – MTR-LL Module – What it is and what it's for

The MTR-LL Module consists in 2 tabs: *Notification* and *Shipments*

After its authorization, APA inserts the data on the platform, according to the Notification document for transboundary shipment of waste (Annex I-A of Regulation (EC) No 1013/2006).

Only then it's possible to fill the tab *Shipments*

Transboundary Movements of Wastes – “Amber List”



SILiAmb Users – MTR-LL Module

Users involved in notification processes that can communicate shipments in the platform:

- Notifier

Users involved in notification processes that can submit certificates of reception and/or recovery/disposal:

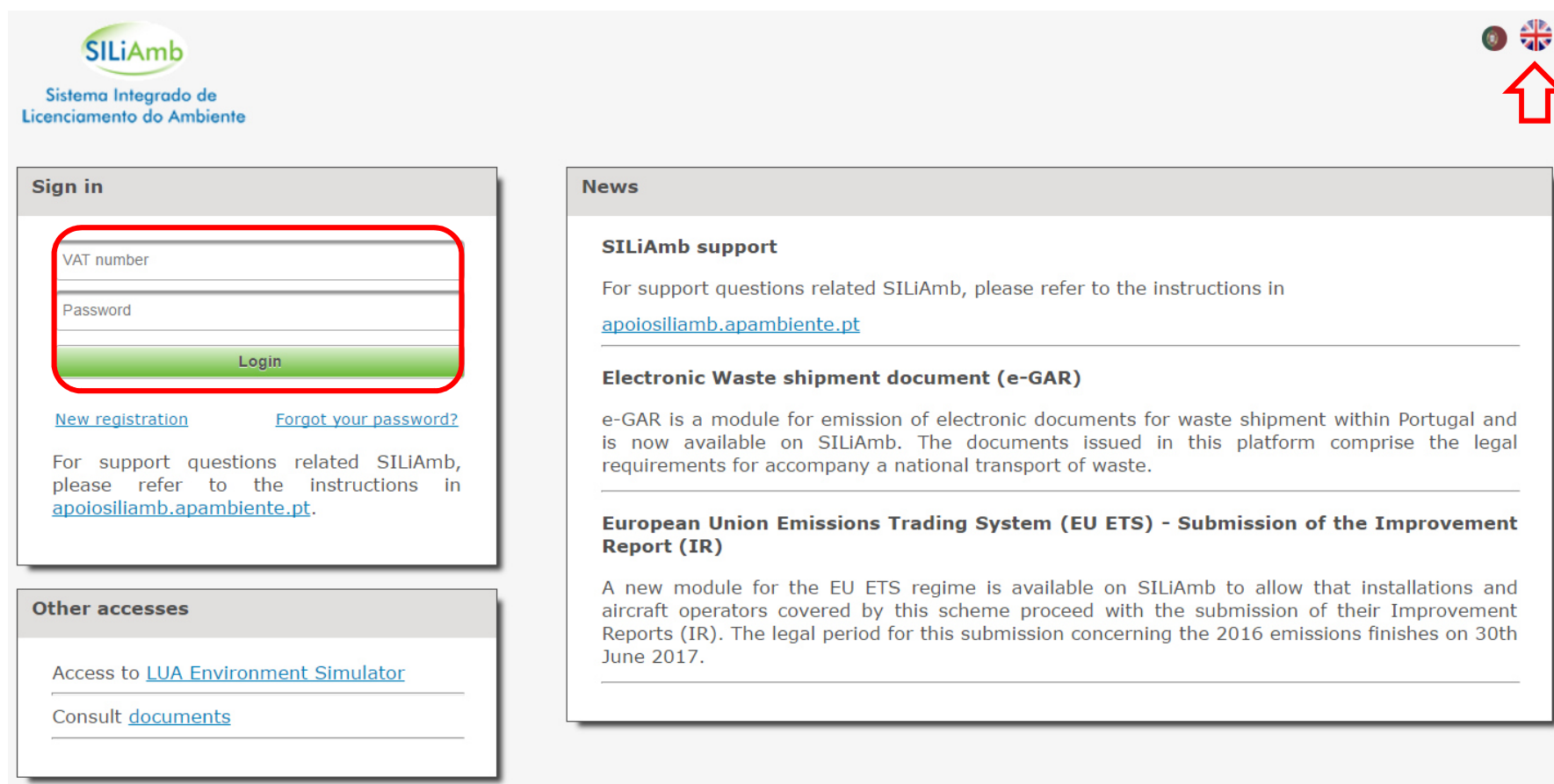
- Notifier
- Consignee
- Disposal/recovery Facility

Transboundary Movements of Wastes – “Amber List”

Access to SILiAmb – MTR-LL Module

Access to SILiAmb – MTR module, is done using the VAT number of the Organization (NIF/NIPC) and a password. These access credentials should be requested to the Portuguese Environment Agency.

You can change the language to English by selecting the correspondent flag



The screenshot displays the SILiAmb website interface. At the top left is the SILiAmb logo and the text "Sistema Integrado de Licenciamento do Ambiente". At the top right, there are language selection icons for Portuguese and English, with a red arrow pointing to the English flag. The main content area is divided into two columns. The left column contains a "Sign in" section with a form for entering a VAT number and a password, a "Login" button, and links for "New registration" and "Forgot your password?". Below this is an "Other accesses" section with links for "Access to LUA Environment Simulator" and "Consult documents". The right column contains a "News" section with three articles: "SILiAmb support", "Electronic Waste shipment document (e-GAR)", and "European Union Emissions Trading System (EU ETS) - Submission of the Improvement Report (IR)".

Sign in

VAT number

Password

Login

[New registration](#) [Forgot your password?](#)

For support questions related SILiAmb, please refer to the instructions in apoiosiliamb.apambiente.pt.

Other accesses

Access to [LUA Environment Simulator](#)

Consult [documents](#)

News

SILiAmb support

For support questions related SILiAmb, please refer to the instructions in apoiosiliamb.apambiente.pt

Electronic Waste shipment document (e-GAR)

e-GAR is a module for emission of electronic documents for waste shipment within Portugal and is now available on SILiAmb. The documents issued in this platform comprise the legal requirements for accompany a national transport of waste.

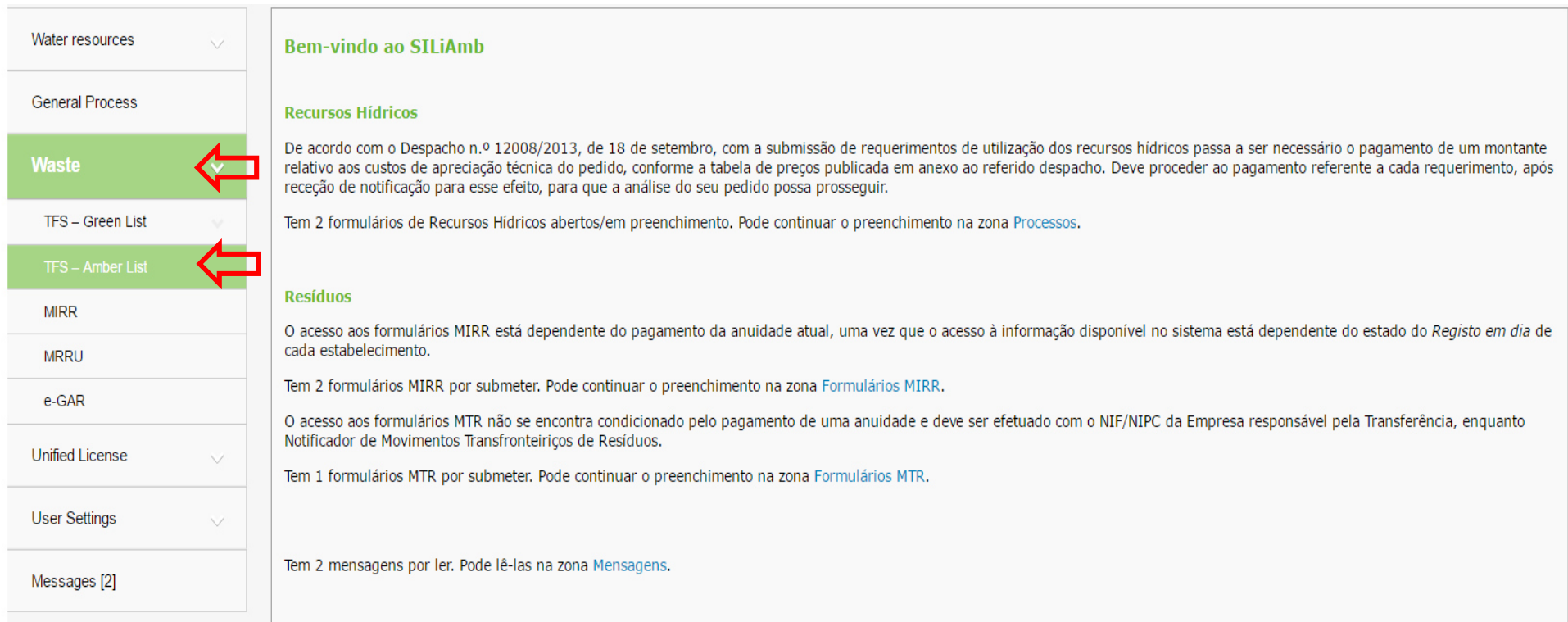
European Union Emissions Trading System (EU ETS) - Submission of the Improvement Report (IR)

A new module for the EU ETS regime is available on SILiAmb to allow that installations and aircraft operators covered by this scheme proceed with the submission of their Improvement Reports (IR). The legal period for this submission concerning the 2016 emissions finishes on 30th June 2017.

Transboundary Movements of Wastes – “Amber List”

How to create movements

Select the icon *Waste*, and subsequently select the icon *TSF – Amber List*



The screenshot shows the SILiAmb web interface. On the left is a vertical navigation menu with the following items: Water resources, General Process, Waste, TFS – Green List, TFS – Amber List, MIRR, MRRU, e-GAR, Unified License, User Settings, and Messages [2]. The 'Waste' and 'TFS – Amber List' items are highlighted in green, and red arrows point to their respective dropdown arrows. The main content area on the right displays a welcome message: 'Bem-vindo ao SILiAmb'. Below this, there are three sections: 'Recursos Hídricos' (Water Resources), 'Resíduos' (Residues), and 'Mensagens' (Messages). Each section contains information about open forms and how to proceed with them.

Bem-vindo ao SILiAmb

Recursos Hídricos

De acordo com o Despacho n.º 12008/2013, de 18 de setembro, com a submissão de requerimentos de utilização dos recursos hídricos passa a ser necessário o pagamento de um montante relativo aos custos de apreciação técnica do pedido, conforme a tabela de preços publicada em anexo ao referido despacho. Deve proceder ao pagamento referente a cada requerimento, após receção de notificação para esse efeito, para que a análise do seu pedido possa prosseguir.

Tem 2 formulários de Recursos Hídricos abertos/em preenchimento. Pode continuar o preenchimento na zona [Processos](#).

Resíduos

O acesso aos formulários MIRR está dependente do pagamento da anuidade atual, uma vez que o acesso à informação disponível no sistema está dependente do estado do *Registo em dia* de cada estabelecimento.

Tem 2 formulários MIRR por submeter. Pode continuar o preenchimento na zona [Formulários MIRR](#).

O acesso aos formulários MTR não se encontra condicionado pelo pagamento de uma anuidade e deve ser efetuado com o NIF/NIPC da Empresa responsável pela Transferência, enquanto Notificador de Movimentos Transfronteiriços de Resíduos.

Tem 1 formulário MTR por submeter. Pode continuar o preenchimento na zona [Formulários MTR](#).

Tem 2 mensagens por ler. Pode lê-las na zona [Mensagens](#).

Transboundary Movements of Wastes – “Amber List”



How to create movements

After selecting the icon *TSF – Amber List*, it becomes visible the list of notifications associated to the user. As mentioned, the user can be the Notifier, the Consignee or the disposal/recovery facility.

In this list, it is possible to search notifications by Status or decision type.

MTR - Amber List

Status: All Decision: All

Active notification between: and Search Clear

30 (1 of 2)

Notification Number	Start date	End date	Status	Decision	
PT0003	24-12-2014	09-01-2015	In analysis	In analysis	Ⓜ
MT000037	01-01-2015	31-01-2016	Shipments	Consented	Ⓜ
PT001837	01-01-2015	31-05-2015	Shipments	Consented	Ⓜ
IT017057	01-01-2015	01-10-2015	Shipments	Consented	Ⓜ
GB00000407	01-01-2015	04-01-2017	Shipments	Consented	Ⓜ
PT001947	01-01-2015	31-03-2015	In analysis	In analysis	Ⓜ
12345	01-01-2015	04-01-2017	In analysis	In analysis	Ⓜ
PT001947	01-01-2015	31-03-2015	In analysis	In analysis	Ⓜ

Transboundary Movements of Wastes – “Amber List”

How to create movements

You can only create a movement, for notifications that have been *Consented* (Decision) and with the Status *Shipments*

To select and open one notification, click magnifying icon

MTR - Amber List

Status: All Decision: All

Active notification between: and Search Clear

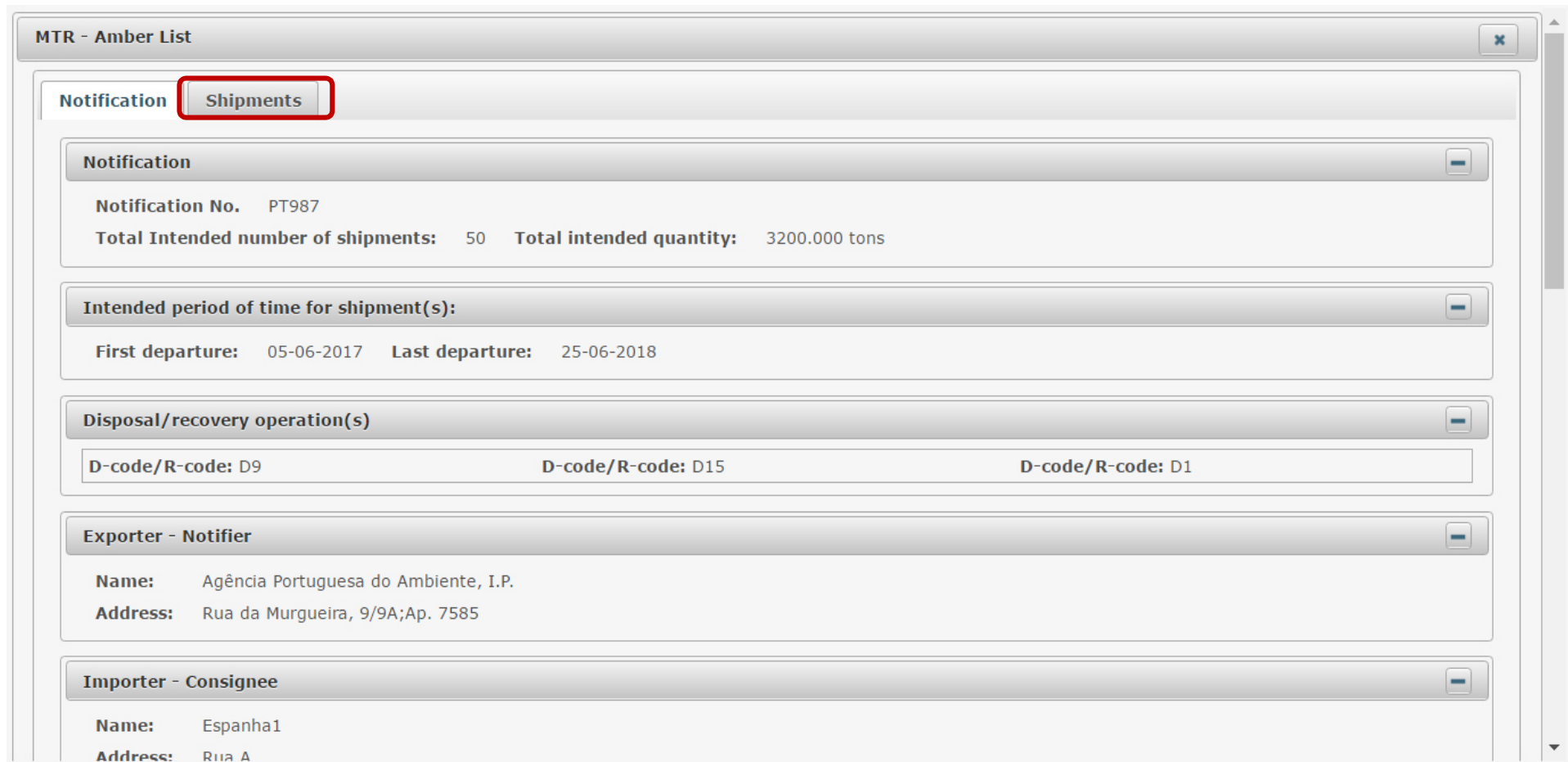
Notification Number	Start date	End date	Status	Decision	
PT0003	24-12-2014	09-01-2015	In analysis	In analysis	
MT000037	01-01-2015	31-01-2016	Shipments	Consented	
PT001837	01-01-2015	31-05-2015	Shipments	Consented	
IT017057	01-01-2015	01-10-2015	Shipments	Consented	
GB00000407	01-01-2015	04-01-2017	Shipments	Consented	
PT001947	01-01-2015	31-03-2015	In analysis	In analysis	
12345	01-01-2015	04-01-2017	In analysis	In analysis	
PT001947	01-01-2015	31-03-2015	In analysis	In analysis	

Transboundary Movements of Wastes – “Amber List”

How to create movements

After selecting the notification, the tab with the notification information becomes visible.

To access or create a movement you must select the tab *Shipments*.



The screenshot shows a web application window titled "MTR - Amber List". At the top, there are two tabs: "Notification" and "Shipments". The "Shipments" tab is selected and highlighted with a red border. Below the tabs, the application displays several sections of information:

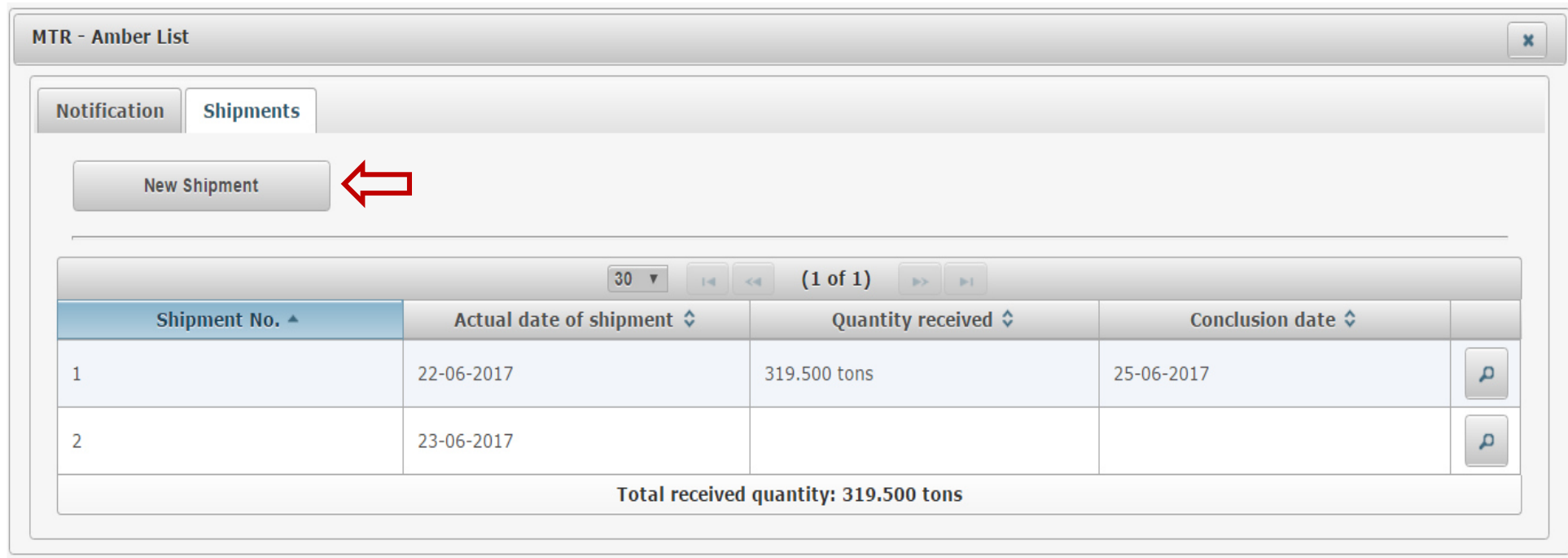
- Notification**: Notification No. PT987, Total Intended number of shipments: 50, Total intended quantity: 3200.000 tons.
- Intended period of time for shipment(s)**: First departure: 05-06-2017, Last departure: 25-06-2018.
- Disposal/recovery operation(s)**: D-code/R-code: D9, D-code/R-code: D15, D-code/R-code: D1.
- Exporter - Notifier**: Name: Agência Portuguesa do Ambiente, I.P., Address: Rua da Murgueira, 9/9A;Ap. 7585.
- Importer - Consignee**: Name: Espanha1, Address: RUA A.

Transboundary Movements of Wastes – “Amber List”



How to create movements

In the tab *Shipments*, you can see the number of movements associated with the notification, with the following information: *Actual date of shipment*, *Quantity received* and *Conclusion date*. There is also information about the *total received quantity* for the notification.

To create a new movement, select the button “New Shipment”



The screenshot shows a web application window titled "MTR - Amber List". It has two tabs: "Notification" and "Shipments", with "Shipments" selected. A "New Shipment" button is visible, with a red arrow pointing to it. Below the button is a table with the following data:

Shipment No. ▲	Actual date of shipment ⇅	Quantity received ⇅	Conclusion date ⇅	
1	22-06-2017	319.500 tons	25-06-2017	
2	23-06-2017			

Total received quantity: 319.500 tons

Transboundary Movements of Wastes – “Amber List”



How to create movements

To create a new movement, it is necessary to fill the following boxes: *Shipment No.*, Actual quantity, Producer, Quantity produced by each producer and then click the icon *+ Add Producer*.

Note that, when the quantity reached matches the total quantity authorized in the notification, or the number of authorized movements is reached, it is no longer possible to create new movements for that notification.

The quantities boxes allow up to 3 decimal spaces

The screenshot shows a software window titled "MTR - Amber List". It contains several input fields and buttons:

- Shipment No.:** A text input field.
- Actual Quantity:** A text input field.
- Quantity Reached:** A label showing "419.500 Tons of 3200.000 Tons".
- Waste generator(es) - Producer(s):** A section with a "Producer:" dropdown menu, a "Quantity per producer" input field, and a "+ Add Producer" button highlighted with a red box.
- Portuguese Carrier(s):** A section with a "Carrier" dropdown menu and a "+ Add Carrier" button.
- Actual date of shipment:** A text input field.
- Movement document:** A "+ Choose File" button.
- Buttons:** "Cancel" and "Save" buttons at the bottom.

Transboundary Movements of Wastes – “Amber List”



How to create movements

The sum of the quantities by producer must match the Actual Quantity indicated for movement, otherwise an error message will appear and you will not be able to create the movement.

After adding a producer, it is still possible, if necessary, to make changes, edit or remove the producer and respective amount (icons *Edit* and *Delete*)¹

Name	Quantity per producer		
APA/ARH-Centro	70.000 Tons		
APA/ARH-Alentejo	30.000 Tons		

¹ The system, intentionally, does not include an option to freely write the name of the producer and also does not include all the producers. The data referred to the producers is **important in a national context** because that information migrates to each *national* company's individual record in our Waste Electronic Information System. It is **not relevant for foreign producers**. That data is on the notification files and dossier (physical): it dully contains the list of producers in the complete notification that the notifier or the competent authority sent to APA.

As the producer information can be only **manually filled and loaded by APA**, for foreign companies we only register a producer if there's only one producer, It's out of the question to register 20 or 60 producers, as they sometimes appear on some notifications. In these cases the notifier will appear as the producer.

Transboundary Movements of Wastes – “Amber List”

How to create movements

It is also possible to add the portuguese carriers associated with each shipment, by selectin from the carriers list and selecting the icon *Add carrier*

Waste generator(es) - Producer(s)

Producer: Quantity per producer

Name	Quantity per producer		
APA/ARH-Centro	70.000 toneladas		
APA/ARH-Alentejo	30.000 toneladas		

Portuguese Carrier(s)

Carrier

Name	
Agência Portuguesa do Ambiente, I.P.	

Actual date of shipment:

Movement document: formularioMTR (3).pdf

Transboundary Movements of Wastes – “Amber List”



How to create movements

The prior information regarding actual start of a shipment, must be communicated by the notifier at least three working days before the shipment starts. The box *Actual date of shipment* only allows to choose a date at least 3 days after the current date.

After selecting the *Actual date of shipment*, click the icon *Choose file* to upload the movement document for transboundary movements/shipments of waste from your computer (the document cannot exceed the size limit of 5 MB).

Finally click the icon *Save*.

The screenshot shows a web interface for creating waste movements. It is divided into several sections:

- Waste generator(es) - Producer(s):** Includes a dropdown for 'Producer', a 'Quantity per producer' input field, and an '+ Add Producer' button. Below is a table with two entries: 'APA/ARH-Centro' (70.000 Tons) and 'APA/ARH-Alentejo' (30.000 Tons). Each entry has edit and delete icons.
- Portuguese Carrier(s):** Includes a dropdown for 'Carrier' and an '+ Add Carrier' button. Below is a table with one entry: 'Agência Portuguesa do Ambiente, I.P.' with a delete icon.
- Actual date of shipment:** A text input field containing '6/28/17'.
- Movement document:** A text input field containing 'arioMTR (3).pdf'.

A calendar pop-up is visible over the date field, showing 'June 2017' with the 28th selected. At the bottom, there are 'Cancel' and 'Save' buttons.

Transboundary Movements of Wastes – “Amber List”



How to create movements

If all data is entered correctly you will see the message “The movement was saved successfully”, and the new entry will be visible in the list of movements associated with this notification: Number and date of the movement.

If the query is not dully filled an error message will appear referring the boxes to be rectify or those that are unfilled.

MTR - Amber List

Save shipment. The movement was saved successfully.

Shipment No.

Actual Quantity: Quantity Reached: 519.500 Tons of 3200.000 Tons

Waste generator(es) - Producer(s)

Producer: Quantity per producer

Name	Quantity per producer		
APA/ARH-Centro	70.000 Tons	<input type="button" value="edit"/>	<input type="button" value="delete"/>
APA/ARH-Alentejo	30.000 Tons	<input type="button" value="edit"/>	<input type="button" value="delete"/>

Portuguese Carrier(s)

Carrier

Name	
Agência Portuguesa do Ambiente, I.P.	<input type="button" value="delete"/>

How to create movements

After the movement is created and until the end of the day (11:59 p.m) in which the movement is scheduled, it is possible to change the *Actual quantity of the movement*, *Quantity per producer* and the *Actual date of the shipment*. It is also possible to remove and upload a new movement document for transboundary movements/shipments of waste.

However, if you were not able to make this update until the end of the day (11:59 p.m) foreseen for the movement, or the shipment suffered an unforeseen delay due to *force majeure* or logistics reasons you can still proceed with the movement the period of 5 days after the actual date of the movement, without the need for additional communication to this agency.

If the shipment does not occur during this period, the competent authorities must be notified of the cancelling by the end of the 5-day period.

Transboundary Movements of Wastes – “Amber List”



How to submit a Waste Reception Certificate

The next step is the submission of written confirmation of reception of the waste in the disposal or recovery facility, which may be indicated on the Movement document for transboundary movements/shipments of waste (Block 18) or annexed. This submission on SILiAmb can be made by the:

- Notifier;
- Consignee (if different of the disposal or recovery facility)
- Disposal or recovery facility.

Transboundary Movements of Wastes – “Amber List”

How to submit a Waste Reception Certificate

To submit the waste reception certificate, select Waste -> TFS - Amber List -> Select the notification, and then click on the tab *Shipments* to upload the certificate of reception of waste.

Water resources

General Process

Waste

TFS – Green List

TFS – Amber List

MIRR

MRRU

e-GAR

Unified License

User Settings

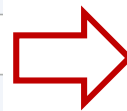
Messages [2]

MTR - Amber List

Status: All

Active notification between:

Notification Number	Start
123456	
123	
IT001234b	
ab000123	
IT001234b	
IT000	20-06-2017
IT000	20-06-2017
PT987	05-06-2017
GR000	26-03-2017



MTR - Amber List

Notification

Shipments

New Shipment

Shipment No.	Actual date of shipment
3	28-06-2017
2	23-06-2017
1	22-06-2017

Total r

Transboundary Movements of Wastes – “Amber List”



How to submit a Waste Reception Certificate

At this stage you must enter the *Shipment reception date*, click on the icon *choose file* and upload the waste reception certificate (the certificate cannot exceed the size limit of 5 MB), enter the Quantity Received and click on the icon *Save*.

Portuguese Carrier(s)

Carrier:

Name
Without Carrier(s)

Actual date of shipment:

Movement document: [formularioMTR \(2\).pdf](#) Upload by: Agência Portuguesa do Ambiente, I.P. (510306624)

Shipment reception date: 24-06-2017 Quantity received: 102.540 Tons

Written confirmation of receipt: [formularioMTR \(3\).pdf](#) Upload by: Agência Portuguesa do Ambiente, I.P. (510306624)

Name	Quantity Received
APA/ARH-Centro	102.540 Tons

Conclusion date for the first operation:

Certificate for the first operation:

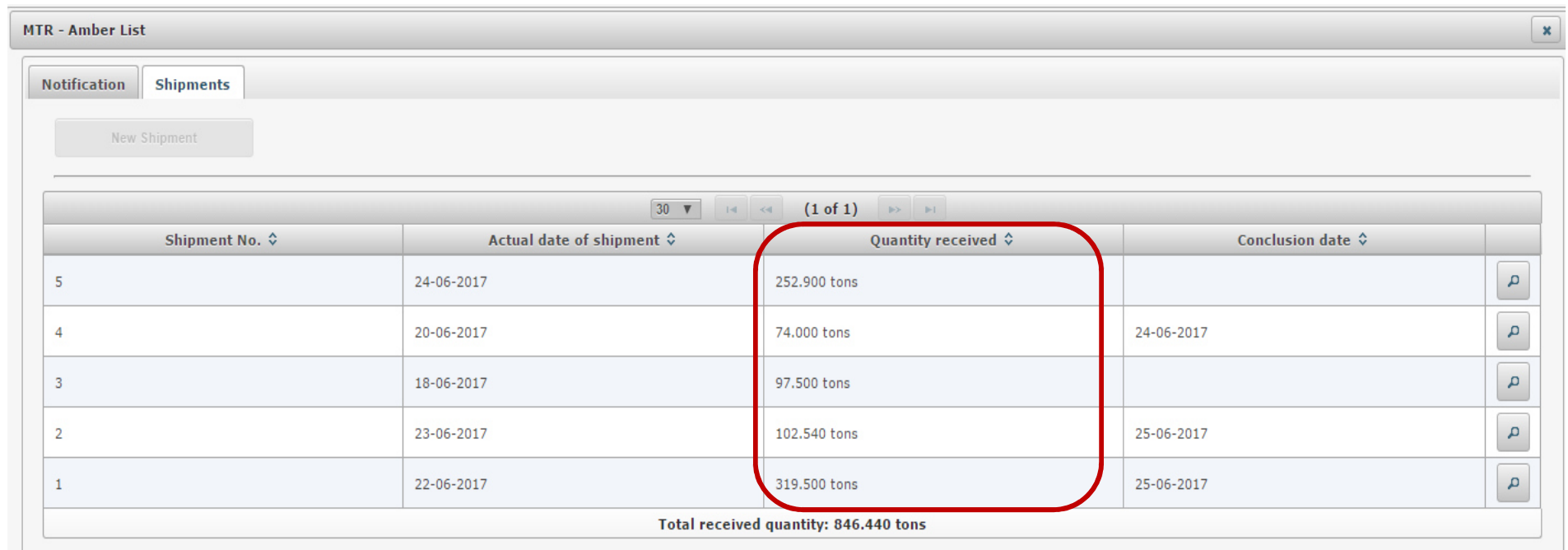
Conclusion date for subsequent operation:

Certificate for subsequent operation:

Transboundary Movements of Wastes – “Amber List”

How to submit a Waste Reception Certificate






As shown in Table below, the movements were updated and it is now possible to see the *Quantity received*



MTR - Amber List

Notification Shipments

New Shipment

Shipment No. ↕	Actual date of shipment ↕	Quantity received ↕	Conclusion date ↕	
5	24-06-2017	252.900 tons		
4	20-06-2017	74.000 tons	24-06-2017	
3	18-06-2017	97.500 tons		
2	23-06-2017	102.540 tons	25-06-2017	
1	22-06-2017	319.500 tons	25-06-2017	

Total received quantity: 846.440 tons

Transboundary Movements of Wastes – “Amber List”



How to submit a Conclusion Certificate of the Main Operation

The next step is the submission of the Conclusion Certificate for the main operation (which can be an interim recovery or disposal operation) by the disposal or recovery facility, that can be indicated in the movement document (Block 19) or annexed. This submission on SILiAmb can be made by the:

- Notifier;
- Consignee (if different of the disposal or recovery facility) ;
- Disposal or recovery facility.

Prior to this phase, you must follow the steps explained in slide 19.

Transboundary Movements of Wastes – “Amber List”



How to submit a Conclusion Certificate of the Main Operation

Insert the *Conclusion date for the first operation*, click on the icon *Choose file* to upload the Certificate (< 5 MB) for the main recovery or disposal operation and click on the icon *Save*.

MTR - Amber List

Shipment No. 3
Actual Quantity: 100.000 Tons Quantity Reached: 846.440 Tons of 3200.000 Tons

Waste generator(es) - Producer(s)

Name	Quantity per producer
APA/ARH-Centro	70.000 Tons
APA/ARH-Alentejo	30.000 Tons

Portuguese Carrier(s)

Name
Agência Portuguesa do Ambiente, I.P.

Actual date of shipment: 18-06-2017
Movement document: [formularioMTR \(3\).pdf](#) Upload by: Agência Portuguesa do Ambiente, I.P. (510306624)

Shipment reception date: 20-06-2017
Written confirmation of receipt: [formularioMTR \(3\).pdf](#) Quantity received: Upload by: Agência Portuguesa do Ambiente, I.P. (510306624)

Name	Quantity Received
APA/ARH-Centro	69.500 Tons
APA/ARH-Alentejo	28.000 Tons

Conclusion date for the first operation:

Certificate for the first operation: [formularioMTR \(3\).pdf](#)

Conclusion date for subsequent operation:

Certificate for subsequent operation:

Transboundary Movements of Wastes – “Amber List”



How to submit a Conclusion Certificate of the Main Operation

At this stage, the list of shipments will show the conclusion date of the shipment and the shipment is now concluded.

MTR - Amber List

Notification Shipments

New Shipment

30 (1 of 1)

Shipment No. ↕	Actual date of shipment ↕	Quantity received ↕	Conclusion date ↕	
5	24-06-2017	252.900 tons		
4	20-06-2017	74.000 tons	24-06-2017	
3	18-06-2017	97.500 tons		
2	23-06-2017	102.540 tons	25-06-2017	
1	22-06-2017	319.500 tons	25-06-2017	

Total received quantity: 846.440 tons

How to submit a Conclusion Certificate of the Subsequent Operation

When the main operation is an interim recovery or disposal operation and there is a subsequent interim or non-interim recovery or disposal operation, it is necessary to submit also the Certificate of the conclusion for this subsequent operation.

By delivering waste to a subsequent operation, the recovery or disposal facility responsible for the interim operation, must obtain from the facility which carries out the subsequent interim or non-interim recovery or disposal operation, a Certificate confirming that the subsequent operation was completed, as indicated in consent conditions.

This certificate may be submitted by the:

- Notifier;
- Consignee (if diferente of the interim recovery/disposal facility);
- Interim recovery or disposal facility

Transboundary Movements of Wastes – “Amber List”



How to submit a Conclusion Certificate of the Subsequent Operation

Please enter the *Conclusion date for the subsequent recovery or disposal operation* and click the icon *Choose file* to upload the Certificate for the subsequent recovery or disposal operation (< 5 MB) and click the icon *Save*.

MTR - Amber List

Shipment No. 4
Actual Quantity: 75.000 Tons Quantity Reached: 846.440 Tons of 3200.000 Tons

Waste generator(es) - Producer(s)

Name	Quantity per producer
APA/ARH-Centro	75.000 Tons

Portuguese Carrier(s)

Name
Without Carrier(s)

Actual date of shipment: 20-06-2017
Movement document: formularioMTR (4).pdf Upload by: Agência Portuguesa do Ambiente, I.P. (510306624)

Shipment reception date: 6/22/17 Quantity received:
Written confirmation of receipt:

Name	Quantity Received
APA/ARH-Centro	74.000 Tons

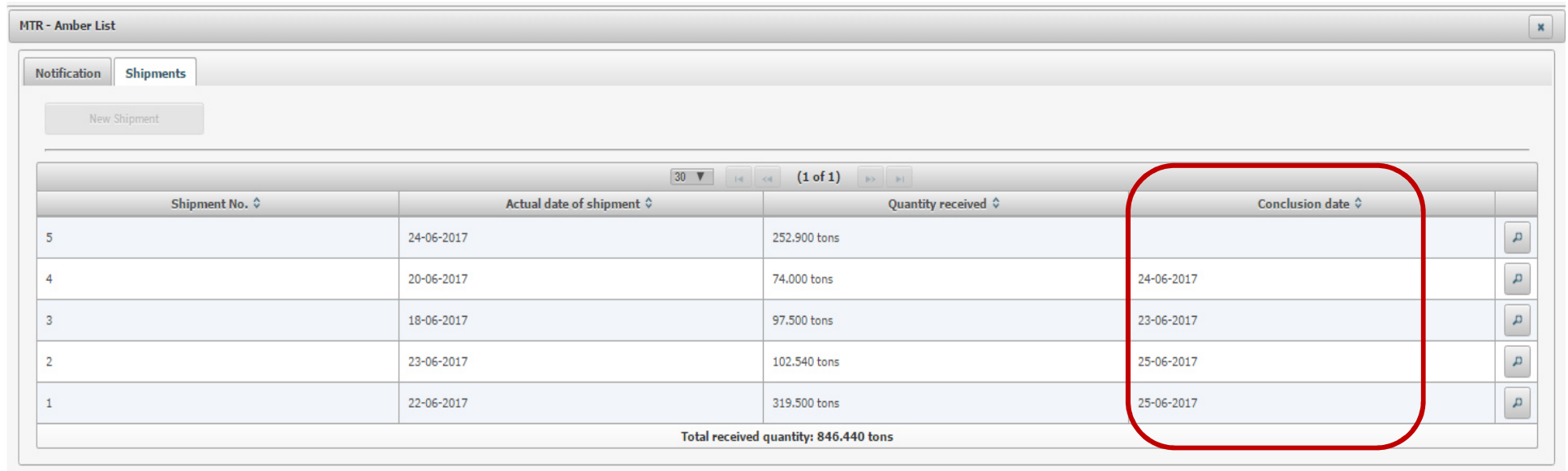
Conclusion date for the first operation: 24-06-2017
Certificate for the first operation: formularioMTR (4).pdf Upload by: Espanha1 (147258369)

Conclusion date for subsequent operation: 6/25/17
Certificate for subsequent operation: formularioMTR (4).pdf

Transboundary Movements of Wastes – “Amber List”

How to submit a Conclusion Certificate of the Subsequent Operation

At this stage, the movement is completed and dully reported to the Portuguese Environment Agency.



MTR - Amber List

Notification Shipments

New Shipment

30 (1 of 1)

Shipment No. ⚡	Actual date of shipment ⚡	Quantity received ⚡	Conclusion date ⚡	
5	24-06-2017	252.900 tons		⚡
4	20-06-2017	74.000 tons	24-06-2017	⚡
3	18-06-2017	97.500 tons	23-06-2017	⚡
2	23-06-2017	102.540 tons	25-06-2017	⚡
1	22-06-2017	319.500 tons	25-06-2017	⚡

Total received quantity: 846.440 tons